





$Updating \\ of the planned evaluations of the European \\ Structural and Investment Funds for the years \\ 2014-2020$

Addressed to:	Central Coordinating Authority Deputy Prime Minister's Office for Investments and Informatization of the Slovak Republic
Issued on (date):	13 December 2016, Bratislava
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Approved by:	National Monitoring Committee for the European Structural and Investment Funds, by NMC Resolution ESIF/2016/3, point 4

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1 Introduction

Central Coordinating Authority (hereinafter as "CCA" only) has drawn-up Updating of the planned evaluations of the European Structural and Investment Funds for the years 2014 - 2020 ("updating of evaluations") in line with point 8 of Chapter "4.2.2 Tasks of the CCA in the area of evaluation" of the Management System of the European Structural and Investment Funds for the 2014 - 2020 programming period, v. 4 ("management system").

Updating of evaluations is drawn-up as a summary document containing evaluations of the European Structural and Investment Funds (hereinafter as "ESI Funds" only) which the CCA plans to perform in the 2014 - 2020 programming period. Document in question also includes evaluations focused on horizontal principles.

The purpose of the updating of evaluations is to obtain relevant and reliable information on the implementation of ESI Funds and, at the same time, improve the quality of evaluation during the 2014-2020 programming period. The planned evaluations will be carried out with a view to improving ESI Funds implementation and assessing the effectiveness, efficiency and impacts of the ESI Funds.

After assessing and analysing of individual evaluation plans of Managing Authorities for the operational programmes for the 2014 -2020 programming period, themes chosen for evaluation were taken into account in the submitted material.

Summary overview of the revised planned evaluations of the ESI Funds for 2014 - 2020 is in Chapter 2 of this document.

Indicative summary list of the ESI Funds evaluations for 2014 - 2020 is in Chapter 3 of this document.

Out of the Plan for the Evaluation of the European Structural and Investment Funds for the 2014 - 2020 Programming Period, approved by the National Monitoring Committee for the ESI Funds by NMC Resolution ESIF/2015/1 on 3 December, 2015, the following evaluations were deleted due to duplicity or out-of-date:

- > Evaluation of the appropriateness of the setup of administrative capacities,
- Evaluation of the results of evaluations and of the evaluation activities of the ESI Funds/OP/HP for respective calendar years,
- Interim evaluation of progress in the implementation of HP at OP level (will be performed in 2017 and 2021 only),



- Evaluation of the ESIF contribution towards meeting the Europe 2020 Strategy objectives,
- > Assessment of compliance with milestones of the performance framework,
- ➢ Final evaluation of progress in the implementation of HP at OP level (the evaluation will be part of "Evaluation of the impacts of ESIF implementation" performance of which is planned for 2023).

Within the updating, the following evaluations were added to the submitted material:

- Evaluation of efficiency and effectiveness of instruments to support integrated territorial development,
- Assessment of results of performance framework and analysis of reallocation of performance reserve,
- Assessment of the ESI Funds influence on development of regional disparities (NUTS III and LAU1),
- Evaluation of the ESI Funds contribution to improving the quality of cooperation between entrepreneurial sector and R&D institutions,
- Evaluation of partnership in preparation and implementation of the Partnership Agreement of the SR.

After approval by the National Monitoring Committee for the ESI Funds, the revision of evaluations will be published on <u>www.partnerskadohoda.gov.sk</u> in section "Evaluation of the ESI Funds".



2 Summary Overview of ESIF Evaluations in the 2014-2020 Programming Period

No.	Title of evaluation	Subject, objective and justification of the need for evaluation	Source of data	Timetable	Form	Commissioned by
		YE	AR 2015			
1.	Qualitative analysis of recommendations from performed evaluations NSRF/OP/HP in the 2007– 2013 programing period and from the meta-evaluation performed	Subject of analysis: findings and recommendations from evaluations performed in the 2007-2013 programming period. Objective of the analysis: to elaborate on the findings and recommendations and specify the needs for the evaluation system, particularly when it comes to the implementation of the results of evaluation and the CCA tasks. Results of the analysis will be used to set up an efficient system for the taking of recommendations into account and for making the evaluation system run by the CCA more efficient, which is one of the conclusions of the meta-evaluation performed.	CCA, MA, HP coordinators, Summary reports and final reports from evaluations carried out in the 2007–2013 programming period, evaluator's questionnaire survey	10/2015 – 06/2016	internally	CCA (DME)
		YE	AR 2016			
No.	Title of evaluation	Subject, objective and justification of the need for evaluation	Source of data	Timetable	Form	Commissioned by
2.	Structuring of the ESI Funds evaluation system (set up of evaluation concept including IT support)	Subject of evaluation: processes of evaluation. Based on evaluation results, objective of the structuring is to enable a set- up of system and structure of evaluation which will lead to more effective, transparent but mainly improved processes (activities) related to evaluation. This concerns processes influencing the level of fulfilment of requirements and expectations from the CCA as well as MA/CA and HP coordinators.	ESIF Management System for the 2014–2020 programming period, MI CCA, ITMS2014+ MA for OP,	In process of performance, anticipated termination 06/2017	internally/externally	CCA (DME)



3.	Evaluation of communication and information activities in the 2014 – 2020 programming period (first phase)	Subject of evaluation: Communication Strategy of the OP Technical assistance (OP TA) for the Partnership Agreement for the 2014 – 2020 Programming Period (PA SR) and Annual Communication Plans of the OP TA for the PA SR. Objective of the evaluation is to evaluate implementation of the Communication Strategy OP TA for the PA SR and activities of the Annual Communication Plan for the PA SR for 2016	ITMS2014+ MA for OP, CCA, OP TA Communication strategy for PA for the 2014-2020 programming period, Annual communication plans	In performance, anticipated termination 06/2017	externally	CCA (DME) and PD (GO of the SR))
4.	Methodology for the evaluation of synergic effects and interventions of ESIF	Subject of evaluation: synergic effects of the ESIF interventions. Objective: to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation.	CCA, MA for OP, HP coordinators EVALSED	In performance, anticipated termination 12/2016	externally	CCA (DME)
5.	Evaluation of the efficiency of the setup of ESIF processes	Subject of evaluation: ESIF processes. Objective of evaluation: to assess the effectiveness of the setup of ESIF processes. Evaluation is needed in order to simplify the existing ESI Funds Management System and make it more efficient.	ITMS2014+ CCA, MA, IB	01/2016 – 09/2016	externally	CCA (DMC)
6.	Evaluation of the progress achieved in the implementation of Partnership Agreement by 31 December 2016	Subject of evaluation: Partnership Agreement (PA SR) and its progress towards the Europe 2020 Strategy and national strategies. Objective of evaluation: to assess progress achieved in implementing of the Partnership Agreement of the SR by 31 December 2016 as a necessary condition for the progress report in 2017.	PA SR for 2014- 2020, Legislative, strategic and concept documents of EU and SR, National Reform Programme of the SR, ITMS2014+, IACS, AGIS, Annual reports on the implementation of OPs,	In performance, anticipated termination 06/2017	externally	CCA (DME)



			Demonto en the			
			Reports on the			
			implementation of			
			the ESI Funds, MA			
			for OP, SO SR,			
			OECD			
		YE	AR 2017			
No.	Title of evaluation	Subject, objective and justification of the need for evaluation	Source of data	Timetable	Form	Commissioned by
7.	Evaluation of the impacts of NSRF implementation on meeting the NSFR strategic objective	Subject of evaluation: interventions supported from the SF and CF as well as evaluation of impacts of the NSRF targets implementation (Convergence Target and Regional competitiveness and employment Target). Evaluation will also focus on evaluation of the SF and CF contribution to meeting the NSRF strategic target, the NSRF	Document "NSRF for 2007-2013", ITMS II, COLSAF, MA for OP / HP coordinators, Annual reports on the implementation	01/2017 – 07/2017	externally	CCA (DME)
		strategic priorities and NSRF horizontal priorities. Evaluation will focus on quantification of effects of implementation of the SF and CF on the Slovak economy with emphasis on assessment of contribution to GDP and employment growth.	of OP/HP, Eurostat, OECD, SO SR			
8.	Interim evaluation of the progress in the implementation of HP at OP level	Subject of evaluation: HP SD and HP EMaWaND at the level of OP. Objective of evaluation: to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.	Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP/HP coordinators	In performance anticipated termination 06/2017	externally	CCA (DME) and HP EMaWaND/HP SD
			AR 2018			
No.	Title of evaluation	Subject, objective and justification of the need for evaluation	Source of data	Timetable	Form	Commissioned by
9.	Evaluation of the Integrated Framework of Information and	Subject of evaluation: The Integrated Network of Information and Advisory	ITMS2014+, semi- annual evaluation	07/2018 - 08/2018	externally	CCA (DMC)
	Advisory Centres	Centres (IN IAC). The DPMOII will support,	by the MA of the			



		in line with the PA, IN IAC which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.	activities of individual IACs, semi-annual evaluation of the activities of the IN IAC coordinator, staff of the CCA, MA, IB, public, database of those interested in information on the ESI Funds, Annual Reports of IACs			
10.	Evaluation of communication and information activities in the 2014 – 2020 programming period (second phase)	Subject of evaluation: Communication Strategy of the OP Technical Assistance (OP TA) for the Partnership Agreement for the 2014 – 2020 Programming Period (PA SR) and Annual Communication Plan of the OP TA for the PA SR. Objective of the evaluation: to evaluate the fulfilment of the Communication Strategy of the OP TA for the PA SR and activities of the Annual Communication Plan OP TA for the PA SR for 2017 – 2018.	ITMS2014+, MA for OP, CCA, Communication strategy OP TA for PA for the 2014– 2020 programming period, Annual communication plans	2018	externally	CCA (GO SR - IPD)
11.	Evaluation of partnership in preparation and implementation of the Partnership Agreement of the SR	Subject of evaluation: Involvement of partners in the implementation of the Partnership Agreement. Objective of evaluation: to assess partners ' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs.	PA of the SR for 2014 – 2020, MA for OP, CCA, Monitoring Committee for OP, administrative data.	2018	externally	CCA (DME)



		The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation ¹ .				
12.	Evaluation of the progress achieved in the implementation of Partnership Agreement by 31 December 2018	Subject of evaluation: Partnership Agreement of the SR and its progress achieved towards the Europe 2020 Strategy and national strategies. Objective of the evaluation: to evaluate the progress achieved in the implementation of the Partnership Agreement of the SR by 31 December 2018 as a necessary condition for the progress report of the SR in 2019.	PA SR for 2014– 2020 Legislative, strategic and concept documents of the EU and the SR, ITMS2014+, IACS, AGIS, Annual reports on the implementation of OPs, Reports on the implementation of the ESI Funds, SO SR, MA of OP, Eurostat, OECD	06/2018 – 06/2019	externally	CCA (DME)
13.	Evaluation of efficiency and effectiveness of instruments for support of integrated territorial development	Subject of evaluation: efficiency and effectiveness of instruments for support of integrated territorial development. Objective of evaluation: evaluation of instruments for support of integrated territorial development. Evaluation results from the need to assess efficiency and effectiveness of instruments	Administrative data, ITMS2014+, IACS, AGIS, MA for OP, ITD coordinators and national coordinators,	2018 - 2019	externally	CCA (DME)

¹ REGULATION (EU) No 1303/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006



		for support of integrated territorial development.	data received targeted from RITS and ITS FUA, data from Partnership Councils created at level of RITS, strategic and programming documents for management and implementation of ITS, relevant annual and evaluating reports.			
		YE	AR 2019			
No.	Title of evaluation	Subject, objective and justification of the need for evaluation	Source of data	Timetable	Form	Commissioned by
14.	Assessment of the meeting of indicators' milestones of the performance framework and analysis of possibilities of	Subject of evaluation: indicators milestones of performance framework. Objective of evaluation: to assess the meeting of indicators milestones of performance	ESIF Management system, MA for OP,			
	reallocation of the performance reserve	framework. Based on analysis performed and on OP absorption and performance capacity, evaluation shall serve for preparation of draft of reallocation of the performance reserve.	binding plans of OP, OP annual implementation reports, MI CCA, independent monitoring subject.	03-06/2019	internally/externally	CCA (DME)



		coordinator of HP "Sustainable				
		Development" is to evaluate its				
		implementation and submit reports to the				
		government. It will therefore be appropriate				
		to perform at least one external evaluation				
16.	Interim impact evaluation of the	during the period of implementation. Subject of evaluation: HP objectives	ITMS2014+,			
10.	meeting of HP objectives	according to OP priorities and at the level of	Annual reports on			
	according to OP priorities at the	the Partnership Agreement.	implementation of			
	level of Partnership Agreement	Objective of evaluation: to assess impacts of	OP,			CCA (DME)
	<u>r</u>	achieved HP objectives according to OP and	HP coordinators,	2019	externally	and HP
		at the level of the Partnership Agreement and	MA for OP,			EMaWaND/HP
		to formulate recommendations should	SO SR,			SD
		problems occur. Required under EU	Eurostat			
		Regulation.				
		YE	AR 2020			
No.	Title of evaluation	Subject, objective and justification of the	Source of data	Timetable	Form	Commissioned
		need for evaluation		Thictable	T Of M	by
17.	Impact assessment of the ESI	Subject of evaluation: Impact on	ITMS2014+,			
	Funds on development of	development of regional disparities (NUTS	SO SR			
	regional disparities (NUTS III and LAU1)	III and LAU1). Objective of evaluation: to evaluate the development of regional				
	and LAUT)	disparities at the level of regions and counties		2020	externally	CCA (DME)
		during the last 10 years (specifically the least		2020	externally	CCA (DML)
		developed counties) and to identify main				
		factors generating lower economic growth				
		and to assess contribution of the EU support.				
18.	ESIF contribution towards the	Subject of evaluation: ESIF contribution	SO SR			
	creation of jobs with emphasis	towards the creation of jobs. Objective of	ITMS2014+,			
	on sustainable, inclusive and	evaluation: to evaluate the impact of ESIF	COLSAF,			
	smart growth	interventions on the labour market,	OP and their	2020	externally	CCA (DME)
		opportunities for job creation, and their	annual reports,			
		impact on sustainable, inclusive and smart	EU and Slovak			
1		growth of the economy.	legislation			
		· · · ·				
		· · ·	AR 2021			
No.	Title of evaluation	· · ·	AR 2021 Source of data	Timetable	Form	Commissi



19.	Evaluation of communication and information activities in the 2014–2020 programming period (third phase)	Subject of evaluation: information and communication in the 2014 – 2020 programming period. Objective of evaluation: to evaluate the effectiveness and efficiency of information and communication in the 2014–2020 programming period by analysis of data and final opinion survey.	ITMS2014+, MA for OP, CCA, Communication strategy OP TA for PA for the 2014– 20 programming period, Annual communication plans	2021	externally	CCA (OIP)
20.	Interim evaluation of progress in the implementation of HP at OP level	Subject of evaluation: HP SD and HP EMaWaND at the level of OPs. Objective of evaluation: to assess functionality of mechanisms created to ensure the implementation of horizontal principles within the ESI Funds implementation. Evaluation will focus on assessment of key measures adopted in relation to implementation of the horizontal principles within operational programmes.	Annual reports on the implementation of OPs/HP, ITMS2014+, MA for OP/HP coordinators	02/2021 – 04/2021	externally	CCA(DME) and HP EMaWaND/HP SD
21.	Evaluation of the ESIF contribution to increasing the quality of cooperation between entrepreneurial sector and research and development institutions	Subject of evaluation: ESIF contribution to entrepreneurial sector and research and development institutions. Objective of evaluation: to evaluate the results of cooperation between research institutions and entrepreneurial sector and to assess qualitatively and quantitatively the efficiency and effects of the ESIF interventions on this cooperation.	ITMS2014+, primary data from interviews with MA/IB and beneficiaries	2020 - 2021	externally	CCA (DME)
		YE	AR 2022			
No.	Title of evaluation	Subject, objective and justification of the need for evaluation	Source of data	Timetable	Form	Commissioned by
22.	Evaluation of the findings from the ESIF evaluations carried out in the 2014-2020 programming period	Subject of evaluation: findings and recommendations from the ESIF evaluations performed in the 2014 – 2020 programming period. Objective of evaluation: evaluation of findings and recommendations form the ESIF	Summary reports on evaluation activities and on the results of ESIF/OP/HP	09/2022 – 12/2022	internally/externally	CCA (DME)



23.	Evaluation of the meeting of objectives/action plans of the strategic document SD 2015+ from the viewpoint of ESIF	evaluations performed in the 2014 -2020 programming period. The evaluation outputs will serve as a basis for the preparation of the Summary Report on the Evaluation of ESIF Implementation in the 2014–2020 programming period. Subject of evaluation: implementation of the ESI Funds in the 2014 -2020 programming period. Objective of evaluation: to assess the implementation of the strategic document SD 2015+. According to PA SR 2014–2020, the strategic document SD 2015+ is mandatory for the implementation of the horizontal principle "Sustainable Development". The coordinator of HP "Sustainable Development" is to evaluate its implementation and submit reports to the government.	evaluations, MA for OP, HP coordinators ITTMS2014+, Annual reports on the implementation of OPs, MA for OP, SO SR Eurostat, OECD	2022	externally	CCA (HPD)
			AR 2023			
No.	Title of evaluation	Subject, objective and justification of the need for evaluation	Source of data	Timetable	Form	Commissioned by
24.	Impact evaluation of the ESIF implementation	Subject of evaluation: ESI Funds implementation in the 2014 – 2020 programming period. Objective of evaluation:	ITMS2014+, OP monitoring reports, SO SR, MA for OP/HP coordinators, CCA.	2023	externally	CCA (DME)



3 Indicative summary list of ESIF evaluations revision in the 2014–2020 programming period

1.	Qualitative analysis of recommendations from performed
1.	evaluations of NSRF/OP/HP in the 2007–2013 programing
	period and from the meta-evaluation performed
Subject, objective and	Subject of analysis: findings and recommendations from
justification of the need	evaluations performed in the 2007-2013 programming period.
for evaluation	Objective of the analysis: to elaborate on the findings and
	recommendations and specify the needs for the evaluation
	system, particularly when it comes to the implementation of the
	results of evaluation and the CCA tasks.
	Results of the analysis will be used to set up an efficient system
	for the taking of recommendations into account and for making
	the evaluation system run by the CCA more efficient, which is
	one of the conclusions of the meta-evaluation performed.
Source of data	CCA, MA for OP/HP coordinators,
	Summary reports and final reports from evaluations carried out
	in the 2007–2013 programming period, evaluator's
	questionnaire survey
Basic evaluation	What are the challenges and risks identified by evaluations
questions	(including the process and use of outputs) on which the future
1	activities should focus?
	What are the needs of further development of the evaluation
	system with a view to the tasks and positon of the CCA?
	What is the efficient mode of communication and support for
	the use of the findings and recommendations from evaluations?
Proposed methods	Desk research, questionnaire survey, multi-criteria methods,
-	SWOT analysis
Timetable	Finished 06/2016
Form	Internally
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Members of the Evaluation Supervision Working Group (set up
	within the CCA),
	Members of the Working Group for Evaluation
Financial management	-
of the project	
2.	Structuring of the ESIF evaluation system (set up of
	evaluation concept including IT support);
Subject, objective and	Subject of evaluation: processes of evaluation.



justification of the need	Based on evaluation results, objective of the structuring is to
justification of the need for evaluation	enable a set-up of system and structure of evaluation which will
for cvaluation	lead to more effective, transparent but mainly improved
	processes (activities) related to evaluation. This concerns
	processes influencing the level of fulfilment of requirements
	and expectations from the CCA as well as MA/CA and HP
	coordinators.
Source of data	ESIF Management System for the 2014–2020 programming
	period,
	MI CCA,
	ITMS2014+,
	MA for OP
Basic evaluation	Is the ESIF evaluation system suitably applied from a
questions	viewpoint of providing evaluation of results and impacts of
	financial assistance from the EU funds?
	How are the proposed indicators, linked to investment
	priorities, thematic objectives, horizontal principles and the EU2020 Strategy, designed and evaluated?
	How do the proposed common evaluation questions reflect the
	need to coordinate evaluation in strategic areas (synthesis of
	several MAs)?
	What are the subsequent needs in terms of ensuring the
	availability of data on a continuous basis?
Proposed methods	benchmarking, brainstorming, delphi, focus group
Timetable	In process of performance, anticipated termination 06/2017
Form	internally/externally
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator Invited to comment	Evaluation Unit
Invited to comment	Members of the Evaluation Supervision Working Group,
Financial management	Members of the Working Group for Evaluation Project Preparation and Implementation Unit, CCA
of the project	Troject Treparation and Implementation Ont, CEA
3.	Evaluation of communication and information activities in
	the 2014-2020 programming period
Subject, objective and	Subject of evaluation: Communication Strategy of the OP
justification of the need	Technical assistance (OP TA) for the Partnership Agreement
for evaluation	for the 2014 – 2020 Programming Period (PA SR) and Annual
	Communication Plans of the OP TA for the PA SR.
	Objective of the evaluation is to evaluate implementation of the
	Communication Strategy OP TA for the PA SR and activities
~	of the Annual Communication Plans for the PA SR.
Source of data	ITMS2014+,
	MA for OP,



	004
	CCA,
	Communication strategy OP TA for PA for the 2014–20
	programming period,
	Annual communication plans
Basic evaluation	How effective are the communication and information activities
questions	in the area of ESI Funds?
	To what extent have individual types of communication and
	information activities contributed towards raising awareness on
	the ESI Funds among professional/general public?
	Have the communication and information activities in the area
	of ESI Funds contributed towards raising awareness on their
	benefits among the population?
Proposed methods	Desk research, semi-structure (in-depth) interview, comparative
i roposed methods	method, questionnaire public opinion survey, theory based
	impact evaluation, synthesis
Timetable	1st phase - 2016
	2nd phase - 2018
	3rd phase – 2018
Earres	
Form	Externally
Responsible for the	Evaluation Unit in cooperation with the Information and
preparation of the	Publicity Department (The Government Office of the SR)
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation,
	Working group for information and communication
T	Project Preparation and Implementation Unit, CCA
Financial management	
Financial management of the project	
	Methodology for the evaluation of synergic effects and
of the project	Methodology for the evaluation of synergic effects and interventions of ESIF;
of the project	
of the project 4.	interventions of ESIF;
of the project 4. Subject, objective and	interventions of ESIF;Subject of evaluation: synergic effects of the ESIF
of the project 4. Subject, objective and justification of the need	interventions of ESIF;Subject of evaluation: synergic effects of the ESIFinterventions.
of the project 4. Subject, objective and justification of the need	interventions of ESIF;Subject of evaluation: synergic effects of the ESIFinterventions.Objective of evaluation is to develop a methodological manualfor the evaluation of complementarities and synergies of
of the project 4. Subject, objective and justification of the need	interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and
of the project 4. Subject, objective and justification of the need for evaluation	interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation.
of the project 4. Subject, objective and justification of the need	 interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA,
of the project 4. Subject, objective and justification of the need for evaluation	 interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP
of the project 4. Subject, objective and justification of the need for evaluation	 interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP HP coordinators,
of the project 4. Subject, objective and justification of the need for evaluation Source of data	 interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP HP coordinators, EVALSED
of the project 4. Subject, objective and justification of the need for evaluation Source of data Basic evaluation	 interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP HP coordinators, EVALSED What are the synergic effects resulting from interventions
of the project 4. Subject, objective and justification of the need for evaluation Source of data	interventions of ESIF;Subject of evaluation: synergic effects of the ESIF interventions.Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation.CCA, MA for OP HP coordinators, EVALSEDWhat are the synergic effects resulting from interventions supported from EU sources?
of the project 4. Subject, objective and justification of the need for evaluation Source of data Basic evaluation	 interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP HP coordinators, EVALSED What are the synergic effects resulting from interventions supported from EU sources? How do the synergic effects contribute towards the meeting the
of the project 4. Subject, objective and justification of the need for evaluation Source of data Basic evaluation	interventions of ESIF;Subject of evaluation: synergic effects of the ESIF interventions.Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation.CCA, MA for OP HP coordinators, EVALSEDWhat are the synergic effects resulting from interventions supported from EU sources?



	Which areas of the ESI Funds implementation are key for the evaluation of contribution of interventions towards meeting the objectives of the Europe 2020 Strategy?
Proposed methods	survey, interview, questionnaires, quantitative and qualitative
	methods, desk research
Timetable	In process of performance, anticipated termination 12/2016
Form	Externally
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
5.	Evaluation of the efficiency of the setup of ESIF processes
Subject, objective and	The purpose of the evaluation is to assess the efficiency of the
justification of the need for evaluation	setup of selected processes within the ESI Funds Management
	System and propose measures to increase their efficiency. At the moment, the following processes appear to be suitable: 1. Phase of the publication of calls, 2. Preparation of applications by potential beneficiaries, 3. Formal evaluation of applications for grants, 4. Evaluation of the content of grant applications and of the system for project selection, plus other processes. The objective is to provide detailed analysis of selected ESIF processes based on the data from the processes implemented within the 2007–2013 operational programmes and compared against the presently applicable management documentation for the 2014-2020 programming period. Due to the implementation of new projects, the analysis will also include the processes implemented during the 2014-2020 programming period. The main output will be a proposal for measures to be adopted by the managing authorities in order to fine-tune their processes. The evaluation is needed in order to simplify the existing ESI Funds Management System and make it more efficient.
Source of data	ITMS2014+ CCA
Pagia avaluation	MA/IB What is the officiency of the selected processes?
Basic evaluation	What is the efficiency of the selected processes?
auastions	How can the atticiancy of the calected processes be increased?
questions	How can the efficiency of the selected processes be increased? What are the recommendations and proposals to improve the
questions	What are the recommendations and proposals to improve the
questions	



	of processes.
Proposed methods	SWOT analysis, Balanced Scorecard, questionnaire surveys,
Troposed methods	field qualitative research
Timetable	01/2016 - 09/2016
Form	externally
Responsible for the	DMC
preparation of the	Diffe
terms of reference	
Responsible for the	DMC
takeover and	
acceptance of the	
Works	
Evaluation coordinator	DMC
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
6.	Evaluation of the progress achieved in the implementation
	of Partnership Agreement by 31 December 2016
Subject, objective and	Subject of evaluation is the Partnership Agreement (PA SR)
justification of the need	and its progress towards the Europe 2020 Strategy and national
for evaluation	strategies.
	Objective of evaluation is to assess the progress achieved in
	implementation of the Partnership Agreement of the SR by 31
	December 2016 as a necessary condition for the progress report
	in 2017.
Source of data	PA SR for 2014–2020,
	legislative, strategic and concept documents of the EU and
	Slovakia, National Reform Programme
	National Reform Programme, ITMS2014+,
	IACS, AGIS,
	annual implementation reports of OPs,
	reports on progress in the implementation of the ESI Funds,
	MA for OP
	Eurostat,
	SO SR,
	OECD
Basic evaluation	How did the development need in economic, social and
questions	territorial development of Slovakia as well as the relevance of
-	the expected results of the thematic objectives change in
	comparison to base-line situation since the adoption of the PA
	SR?
	What were the gross effects of interventions implemented
	within the thematic objectives of the PA in relation to the
	objectives of the Europe 2020 Strategy, the meeting of the
	National Reform Programme and the Council and the
	Commission specific recommendations for Slovakia and, if
	suitable, to the needs at regional level?



Proposed methods	analysis of time series, desk research, regression analysis
Timetable	in process of performance, anticipated termination 06/2017
Form	Externally
	Evaluation Unit
Responsible for the	
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	CCA departments,
	Evaluation Supervision Working Group,
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
7.	Evaluation of the impacts of NSRF implementation on
	meeting the NSFR strategic objective
Subject, objective and	Subject of evaluation are the interventions supported from the
justification of the need	SF and CF as well as evaluation of impacts of the NSRF targets
for evaluation	implementation (Convergence Target and Regional
	competitiveness and employment Target).
	Evaluation will also focus on evaluation of the SF and CF
	contribution to meeting the NSRF strategic target, the NSRF
	strategic priorities and NSRF horizontal priorities.
	Evaluation will focus on quantification of effects of the
	implementation of the SF and CF on the Slovak economy with
	emphasis on assessment of contribution to GDP and
	employment growth.
Source of data	Document "NSRF for 2007–2013",
	ITMS II.
	COLSAF.
	COLSAF, MA for OP.
	MA for OP,
	MA for OP, HP coordinators
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD,
Basic evaluation	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR
Basic evaluation	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the
Basic evaluation questions	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)?
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective?
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective? What was the impact/effect of the contribution granted from the
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective? What was the impact/effect of the contribution granted from the SF and CF on reducing regional and social disparities (GDP,
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective? What was the impact/effect of the contribution granted from the SF and CF on reducing regional and social disparities (GDP, unemployment rate, poverty rate, infrastructure provided, etc.)
	MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective? What was the impact/effect of the contribution granted from the SF and CF on reducing regional and social disparities (GDP,



	1
	NSRF been met? If not, specify for what reasons given
	indicators have not been met.
	To what extent have the objectives of the NSRF priorities and
	objectives of the horizontal priorities been met?
	Were the funds from the SF and CF used purposefully,
	effectively and efficiently in the implementation of the NSRF?
	If not, describe why.
	To what extent did the implementation of the NSRF contribute
	to the Lisbon process/the Europe 2020 Strategy?
Proposed methods	time series analysis, regression analysis, econometric model
-	Hermin
Timetable	01/2017 - 07/2017
Form	Externally
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
Invited to comment	Working Group for Evaluation
	Working group for monitoring
Financial management	Project Preparation and Implementation Unit CCA
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
8	Interim evaluation of the progress in the implementation of
of the project 8.	Interim evaluation of the progress in the implementation of HP at OP level
of the project 8. Subject, objective and	Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the
of the project 8. Subject, objective and justification of the need	Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP.
of the project 8. Subject, objective and	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of
of the project 8. Subject, objective and justification of the need	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal
of the project 8. Subject, objective and justification of the need	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will
of the project 8. Subject, objective and justification of the need	Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to
of the project 8. Subject, objective and justification of the need for evaluation	Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.
of the project 8. Subject, objective and justification of the need	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP,
of the project 8. Subject, objective and justification of the need for evaluation	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+,
of the project 8. Subject, objective and justification of the need for evaluation	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP
of the project 8. Subject, objective and justification of the need for evaluation Source of data	Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs. Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinators
of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership
of the project 8. Subject, objective and justification of the need for evaluation Source of data	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application
of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation?
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of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation	Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs. Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinators Can the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and monitoring the application of HP SD principles within individual operational programmes?
of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and monitoring the application of HP SD principles within individual operational programmes? To what extent did the implementation of interventions
of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and monitoring the application of HP SD principles within individual operational programmes? To what extent did the implementation of interventions supported from the ESI Funds contribute to the meeting of the
of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation	Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and monitoring the application of HP SD principles within individual operational programmes? To what extent did the implementation of interventions

	effectively enforce the application of HP SD principles in the ESI Funds implementation?
	What is the effectiveness of measures adopted for supporting and monitoring the application of HP EMaWaND principles
	within individual operational programmes?
	To what extent did the implementation of interventions supported from the ESI Funds contribute to the meeting of the
	objectives defined for HP EMaWaND in national strategic
	document?
Proposed methods	administrative data analysis, case studies, interview,
Timetable	benchmarking In performance, anticipated termination 06/2017,
Thickupic	02/2021 - 04/2021
Form	externally
Responsible for the	Evaluation Unit in cooperation with HP SD and HP
preparation of the terms of reference	EMaWaND coordinators
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation Coordination Committee HP EMaWaND
Financial management of	
	Project Preparation and Implementation Unit, CCA
the project	Project Preparation and Implementation Unit, CCA
the project	Project Preparation and Implementation Unit, CCA Evaluation of the Integrated Network of Information and Advisory Centres
the project9.Subject, objective and	Evaluation of the Integrated Network of Information and Advisory Centres Subject of evaluation is The Integrated Network of Information
the project 9. Subject, objective and justification of the need	Evaluation of the Integrated Network of Information and Advisory Centres Subject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).
the project9.Subject, objective and	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC). The DPMOII will support, in line with the PA, the Integrated
the project 9. Subject, objective and justification of the need	Evaluation of the Integrated Network of Information and Advisory Centres Subject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).
the project9.Subject, objective and justification of the need	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning.
the project 9. Subject, objective and justification of the need	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the
the project 9. Subject, objective and justification of the need	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further
the project9.Subject, objective and justification of the need	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the
the project9.Subject, objective and justification of the need	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS,
the project 9. Subject, objective and justification of the need for evaluation	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of
the project 9. Subject, objective and justification of the need for evaluation	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning.The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of individual IACs,
the project 9. Subject, objective and justification of the need for evaluation	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of
the project 9. Subject, objective and justification of the need for evaluation	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of individual IACs, Semi-annual evaluation of activities of IN IAC coordinator,
the project 9. Subject, objective and justification of the need for evaluation	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning.The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of individual IACs, Semi-annual evaluation of activities of IN IAC coordinator, CCA, MA, IB staff
the project 9. Subject, objective and justification of the need for evaluation	Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of individual IACs, Semi-annual evaluation of activities of IN IAC coordinator,



Basic evaluation	Is the provision of information by one centre in each region
questions	efficient?
	Would it be appropriate to set up an IAC also in the Bratislava
	Self-Governing Region?
	Are the entities involved in the management and control of
	the ESI Funds satisfied with the functioning of IN IAC?
	Are the persons/entities seeking information on the ESI Funds
	satisfied with the activities of individual IACs?
Proposed methods	desk research (analysis of reports), survey, interview,
	benchmarking
Timetable	07/2018 - 08/2018
Form	externally
Responsible for the	DMC
preparation of the	
terms of reference	
Responsible for the	DMC
takeover and	
acceptance of the	
Works	
Evaluation coordinator	DMC
Invited to comment	Evaluation Supervision Working Group
	all MA for OP
	Information and Publicity Department
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
10.	Evaluation of the partnership in preparation and implementation of the Partnership Agreement of the SR
Subject objective and	
subject, objective and	Subject of evaluation is the involvement of partners in the
Subject, objective and justification of the need	Subject of evaluation is the involvement of partners in the implementation of the Partnership Agreement.
justification of the need	implementation of the Partnership Agreement.
• • •	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in
justification of the need	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of
justification of the need	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in
justification of the need	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and
justification of the need	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring
justification of the need	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs.
justification of the need	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide
justification of the need	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress
justification of the need	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general
justification of the need for evaluation	implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation.
justification of the need for evaluation	 implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020,
justification of the need for evaluation	 implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and
justification of the need for evaluation	 implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020
justification of the need for evaluation Source of data Basic evaluation	 implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and
justification of the need for evaluation Source of data Basic evaluation	 implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020
justification of the need for evaluation Source of data Basic evaluation	 implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020 programming period? To what extent did the involvement of partners make the implementation of the ESI Funds in the 2014 – 2020
justification of the need for evaluation Source of data Basic evaluation	 implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020 programming period? To what extent did the involvement of partners make the
justification of the need for evaluation Source of data Basic evaluation	 implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020 programming period? To what extent did the involvement of partners make the implementation of the ESI Funds in the 2014 – 2020



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acceptance of the	11C
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Works	
Evaluation coordinator Evaluation Unit	
Invited to comment Evaluation Supervision Working Group,	
Working Group for Evaluation	
partners ²	
Financial management Project Preparation and Implementation Unit, CCA	
of the project	
11. Evaluation of the progress achieved in the implementation	on
of Partnership Agreement by 31 December 2018	J 11
Subject, objective andSubject of evaluation is the Partnership Agreement of the S	SP.
justification of the need and its progress achieved towards the Europe 2020 Strategy a	
	liu
e	1
Objective of the evaluation is to evaluate the progress achiev	
in the implementation of the Partnership Agreement of the S	
by 31 December 2018 as a necessary condition for the progre	SS
report of the SR in 2019.	
Source of data PA SR for 2014–2020	
Legislative, strategic and concept documents of the EU and the	e
SR, ITMS2014+, IACS, AGIS,	
Annual reports on the implementation of OPs,	
Reports on the implementation of the ESI Funds,	
SO SR, MA of OP, Eurostat,	
OECD	
Basic evaluation How did the development need in the economic, social a	nd
questions territorial development of Slovakia as well as the relevance	of
the expected results of the thematic objectives change	
comparison to base-line situation since the adoption of the H	
SR?	-
What were the gross effects of interventions implement	ed
within the thematic objectives of the PA in relation to t	
objectives of the Europe 2020 Strategy, the meeting of t	
National Reform Programme and the Council and t	
Commission specific recommendations for Slovakia and,	
suitable, to the needs at regional level?	11
Proposed methodstime series analysis, desk research, regression analysisTime to blo06/2018	
Timetable 06/2018 – 06/2019 Example externally	
Form externally	
Responsible for the Evaluation Unit	

² According to Art. 5 of the Regulation (EU) No 1303/2013 of the European Parliament and of the Council



preparation of the	
terms of reference	
Responsible for the Pursuant to a partial Contract for Works	
takeover andProcurement Act or the EU directive on put	blic procurement
acceptance of the	
Works	
Evaluation coordinator Evaluation Unit	
Invited to comment CCA departments	
Evaluation Supervision Working Group,	
Working Group for Evaluation	
Financial management of Project Preparation and Implementation Unit, C	CCA
the project	
12. Evaluation of efficiency and effectivenes	
support of integrated territorial develop	
Subject, objective and Until now, support of integrated territoria	1
justification of the need the EU funds was implemented in Slovak	•
for evaluation rural development (LEADER). In the	
programming period integrated territoria	1
been extended also to other areas of supp	
necessary to assess the efficiency and effe	
instruments also with regard to preparation	on of this instrument
type application after 2020.	
Source of data administrative data,	
ITMS2014+, IACS, AGIS,	
MA for OP, ITD coordinators and national	coordinators,
data received targeted from RITS and ITS	FUA, data from
Partnership Councils created at level of RI	ΓS,
strategic and programming documents for	or management and
implementation of ITS,	
relevant annual and evaluating reports.	
Basic evaluation Which instruments are in place to sup	port the integrated
questions territorial development within the ESI Fund	ds?
What is the effectiveness of the i	ntegrated territorial
development instruments or, respectivel	y, which are their
strengths and weaknesses under the cond	itions of the 2014 –
2020 programming period?	
What is the quality of management	-
instruction for individual integrated terr	ritorial development
instruments?	
How it is possible to strengthen the su	upport of integrated
territorial development after 2020?	
Proposed methods qualitative analysis, quantitative analysis, r	management systems
analysis, focus group, interviews	
Timetable 2018/2019	
Form externally	
Responsible for the Evaluation Unit	
preparation of the	
terms of reference	



takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
13.	Assessment of the meeting of indicators' milestones of the
	performance framework and analysis of possibilities of
	reallocation of the performance reserve
Subject, objective and	Subject of evaluation are indicators' milestones of performance
justification of the need	framework.
for evaluation	Objective of evaluation is to assess the meeting of indicators
	milestones of performance framework.
	Based on analysis performed and on OP absorption and
	performance capacity, evaluation shall serve for preparation of
	draft of reallocation of the performance reserve.
Source of data	ITMS2014+,
Source of data	ESIF Management system,
	MA for OP,
	binding plans of OP, OP annual implementation reports, MI
	CCA,
	,
Basic evaluation	independent monitoring subject.
	Have the performance framework milestones been achieved?
questions	Is there a possibility and are there reasons for the reallocation
December of the dec	of the performance reserve?
Proposed methods	Time series analysis, regression analysis, calculation of
	indicators and indices
Timetable	03/2019 - 06/2019
Form	internally/externally
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
14.	ESIF contribution towards the creation of jobs with
	emphasis on sustainable, inclusive and smart growth
Subject, objective and	Subject of evaluation is the ESIF contribution towards the
justification of the need	creation of jobs.
for evaluation	Objective of evaluation is to evaluate the impact of ESIF
	interventions on the labour market, opportunities for job
k	······································



	and in and their increase an analytically inclusion and another
	creation, and their impact on sustainable, inclusive and smart
	growth of the economy.
Source of data	ITMS2014+,
	SO SR,
	COLSAF,
	OP and their annual reports,
	EU and Slovak legislation
Basic evaluation	Did the modular-education programmes and activities focus on
questions	the development of soft skills and support of professional
	competencies and their development?
	Have the set objectives been achieved mainly thanks to the
	better focused public services of employment of higher quality?
	What role did the social partners play and how active were
	they?
	Did the support of projects focus on the strengthening of
	professional and analytical skills?
	Did the support of projects focus on the building of
	infrastructure and communication platform at both national and
	international level in addressing the issues of employment
	development and in removing regional disparities?
	Which sectors of the national economy have a long-term
	potential for the creation of jobs?
Proposed methods	statistical analyses (regression analysis), surveys, case studies
Timetable	2020
Form	externally
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	J I I I I I I I I I I
15.	Evaluation of the meeting of objectives/action plans of the
	Strategic Document SD 2015+ from the viewpoint of ESIF
Subject, objective and	Subject of evaluation are the objectives and action plans of the
justification of the need	Strategic document SD 2015+.
for evaluation	Objective of evaluation is to assess the implementation of the
	Strategic document SD 2015+.
	According to PA SR 2014–2020, the Strategic document SD
	2015+ is mandatory for the implementation of the horizontal
	principle "Sustainable Development". The coordinator of HP
	"Sustainable Development" is to evaluate its implementation
	and submit reports to the government.
Source of data	
Source of uata	ITMS2014+,



Γ	
	Annual reports on the implementation of OPs,
	MA for OP,
	SO SR
	Eurostat,
	OECD
Basic evaluation	To what extent does the set of applied policies of the Slovak
questions	Republic in the economic, social and environmental fields
	contribute towards meeting the main priorities which represent
	the vision of the Slovak Republic in the area of sustainable
	development by the year 2030 pursuant to the Strategic
	Document SD 2015?
Proposed methods	qualitative analysis of the effects and policies contributing
	towards SD 2015+, calculation of indicators and indices
Timetable	2019 and 2022
Form	externally
Responsible for the	Evaluation Unit in cooperation with the Horizontal Priorities
preparation of the	Department, Analytical Unit of the Government Office, and
terms of reference	other central bodies of state administration (CBSA)
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Horizontal Priorities Department
Invited to comment	Evaluation Supervision Working Group,
	Working group for the implementation of HP SD,
	Working Group for Synergies,
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
16.	Interim impact evaluation of the meeting of HP objectives
	according to OP priorities at the level of Partnership
	Agreement.
Subject, objective and	Subject of evaluation are the HP objectives according to OP
justification of the need	priorities and at the level of the Partnership Agreement.
for evaluation	Objective of evaluation is to assess impacts of achieved HP
	objectives according to OP and at the level of the Partnership
	Agreement and to formulate recommendations should problems
	occur.
	Required under EU Regulation.
Source of data	ITMS2014+,
	Annual reports on the implementation of OPs/HPs,
	MA for OP/coordinators of HP
	SO SR
	Eurostat
Basic evaluation	What are the results at the level of OPs and projects in relation
questions	to HP objectives?
	What is the quality of projects in view of the implementation of
	HP within them?
	What are the socio-economic trends in the area of HP
	the second control works in the work of the



	chiestings and what not onticil immed do the ECI Funds have an
	objectives and what potential impact do the ESI Funds have on them?
	What are the recommendations for changes in the HP
	Implementation System?
Proposed methods	analysis of administrative data, analysis of context indicators,
Proposed methods	survey, interview, case studies
Timetable	2019
Form	externally
Responsible for the	Evaluation Unit in cooperation with representatives of
preparation of the	Horizontal Principle "Equality of Men and Women and Non-
terms of reference	discrimination" and Horizontal Principle "Sustainable Growth"
Responsible for the takeover and	Pursuant to a partial Contract for Works or under the Public
	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	Evolution Unit
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation, Coordination Committee for HP EMaWaND
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
17.	Impact assessment of the ESI Funds on development of
Sabiat abiatiza and	regional disparities (NUTS III and LAU I)
Subject, objective and justification of the need	Regional disparities represent one of the basic problems of the development of the Slovak Republic. Despite significant
justification of the need	development of the Slovak Republic. Despite significant
	investments from the EU schedion policy funds there is no
for evaluation	investments from the EU cohesion policy funds, there is no decrease in regional disperities at level of regions and counties
	decrease in regional disparities at level of regions and counties.
	decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of
	decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the
	decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to
	decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to
for evaluation	decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support.
	decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS,
for evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System,
for evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP,
for evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA,
for evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights",
for evaluation Source of data	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity
for evaluation Source of data Basic evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of
for evaluation Source of data	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic
for evaluation Source of data Basic evaluation	decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years?
for evaluation Source of data Basic evaluation	decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the
for evaluation Source of data Basic evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration?
for evaluation Source of data Basic evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into
for evaluation Source of data Basic evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into the least developed regions and what was their thematic
for evaluation Source of data Basic evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into the least developed regions and what was their thematic concentration?
for evaluation Source of data Basic evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into the least developed regions and what was their thematic concentration? Which factors affect the slower growth of the least developed
for evaluation Source of data Basic evaluation	 decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into the least developed regions and what was their thematic concentration?



	to applicability) quantitative analysis, qualitative analysis
Timetable	to applicability), quantitative analysis, qualitative analysis 2020
Timetable	
Form	externally Free basetion Unit
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
18.	Evaluation of the ESIF contribution to increasing the
	quality of cooperation between entrepreneurial sector and
	research and development institutions
Subject, objective and	Increasing intensity and quality of cooperation of research and
justification of the need	development institutions and entrepreneurial sector is one of
for evaluation	important factors to increase the innovation of the Slovak
	companies. In this programming period, objectives in this area
	are directly supported by ESIF, mainly within OP Research and
	Innovations.
	Objective of the evaluation is an independent assessment of
	results of cooperation between research institutions and
~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	enterprises.
Source of data	ITMS2014+,
	primary data from interviews with MA/IB and beneficiaries
Basic evaluation	To what extent have the ESI Funds support created conditions/
questions	environment for cooperation between research institutions and
	enterprises?
	Which groups of research institutions and entrepreneurial sector
	did receive support to increase mutual cooperation?
	What are the main results of supported interventions in the area
	of increasing the quality of cooperation and how did they
	become evident in the functioning of enterprises?
	Which factors do positively/negatively influence the level of
	cooperation between entrepreneurial sector and
Dronogod mothoda	research&development institutions?
Proposed methods	quantitative analysis, qualitative analysis, cluster analysis,
Timotoblo	interviews, mapping of effects 2020/2021
Timetable	
Form	externally Evaluation Unit
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	Durguant to a martial Contract for Wester or order (1. D.1)
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement



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acceptance of the Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Supervision Working Group,
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
19.	Evaluation of the findings from the ESIF evaluations
Sahiat ahiatina and	carried out in the 2014-2020 programming period
Subject, objective and	Subject of evaluation are the findings and recommendations
justification of the need for evaluation	from the ESIF evaluations performed in the 2014 – 2020
for evaluation	programming period.
	Objective of evaluation is to evaluate the findings and recommendations form the ESIF evaluations performed in the
	2014 -2020 programming period. The evaluation outputs will
	serve as a basis for the preparation of the Summary Report on
	the Evaluation of ESIF Implementation in the 2014–2020
	programming period.
Source of data	Summary reports on evaluation activities and on the results of
Source of unu	ESIF/OP/HP evaluations.
	MA for OP,
	HP coordinators
Basic evaluation	Is there a relationship between the quality of evaluation reports
questions	and the application of the results of evaluation?
questions	What are the key lessons learned in relation to the system of
	evaluation?
Proposed methods	survey, case studies, meta-analysis
Timetable	09/2022 - 12/2022
Form	internally/externally
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group
	Working Group for Evaluation
Financial management	Project Preparation and Implementation Unit, CCA
of the project	
20.	Evaluation of the impacts of ESIF implementation
Subject, objective and	Subject of evaluation is the ESI Funds implementation in the
justification of the need	2014 – 2020 programming period.
for evaluation	Objective of evaluation is to evaluate the synergic effects and
	impacts of the ESI Funds on the meeting the goals of the
	Europe 2020 Strategy. Horizontal evaluation is based on
	evaluation of results of programmes and operations since 2014
	co-financed from the ESI Funds.

	Assessment of purpose, efficiency and economy of the 2014 –
	2020 programming period and final evaluation of HP
	EMaWaND/HP SD objectives in the 2014 – 2020 programming
~ ~ ~ ~	period will be also part of the evaluation.
Source of data	ITMS2014+,
	Monitoring reports of OPs,
	SO SR,
	MA for OP/HP coordinators,
	CCA
Basic evaluation	What were the synergic effects resulting from the interventions
questions	supported from EU funds?
	To what extent have the identified synergic effects contributed
	towards the meeting of the national objectives of the Europe
	2020 Strategy?
Proposed methods	survey, interview, questionnaires, quantitative and qualitative
	methods of impact evaluation
Timetable	2023
Form	externally
Responsible for the	Evaluation Unit
preparation of the	
terms of reference	
Responsible for the	Pursuant to a partial Contract for Works or under the Public
takeover and	Procurement Act or the EU directive on public procurement
acceptance of the	
Works	
Evaluation coordinator	Evaluation Unit
Invited to comment	Evaluation Supervision Working Group,
	Working Group for Evaluation,
	Working Group for Monitoring
Financial management	Project Preparation and Implementation Unit, CCA
of the project	

4 List of acronyms used

CBSA CCA	Central Body of the State Administration Central Coordinating Authority
CF	Cohesion Fund
COLSAF	Central Office of Labour, Social Affairs and Family
DMC	Department of Methodology and Coordination
DME	Department of Monitoring and Evaluation
EC	European Commission
EC	European Communities
EMFF	European Maritime and Fisheries Fund
ESF	European Social Fund
ESIF, ESI Funds	European Structural and Investment Funds
EU	European Union
EU	Evaluation Unit
HP	Horizontal principle



HP EO	Horizontal priority 'Equality of Opportunities'
HP EMaWaND	Horizontal principle 'Equality of Men and Women and Non-
	discrimination'
HP SD	Horizontal principle 'Sustainable Development'
HPD	Horizontal Priorities Department
IAC	Information-Advisory Centre
IB	Intermediate body
IN	Integrated Network
IPD	Information and Publicity Department
ITMS2014+	Information Technological Monitoring System 2014-2020
LAU1	Local Administrative Unit (county level)
MA	Managing authority
MI	Methodological Instruction
MC	Monitoring Committee
NMC	National Monitoring Committee for the ESIF
NUTS III	Nomenclature of Territorial Units for Statistics –
	8 self-governing regions
NSRF	National Strategic Reference Framework
OECD	Organisation for Economic Co-operation and Development
OP	Operational programme
OP TA	Operational Programme 'Technical Assistance'
PA	Partnership Agreement
SD	Sustainable development
SF	Structural Funds
SO SR	Statistical Office of the Slovak Republic
SR	Slovak Republic