





$Updating \\ of the planned evaluations of the European \\ Structural and Investment Funds for the years \\ 2014-2020$

| Addressed to: | Central Coordinating Authority Deputy Prime Minister's Office for Investments and Informatization of the Slovak Republic |
|------------------------|--|
| Issued on (date): | 13 December 2016, Bratislava |
| Approved on (date): | 12 December 2016, Bratislava |
| Approved by: | National Monitoring Committee for the European Structural and Investment Funds, by NMC Resolution ESIF/2016/3, point 4 |

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1 Introduction

Central Coordinating Authority (hereinafter as "CCA" only) has drawn-up Updating of the planned evaluations of the European Structural and Investment Funds for the years 2014 - 2020 ("updating of evaluations") in line with point 8 of Chapter "4.2.2 Tasks of the CCA in the area of evaluation" of the Management System of the European Structural and Investment Funds for the 2014 - 2020 programming period, v. 4 ("management system").

Updating of evaluations is drawn-up as a summary document containing evaluations of the European Structural and Investment Funds (hereinafter as "ESI Funds" only) which the CCA plans to perform in the 2014 - 2020 programming period. Document in question also includes evaluations focused on horizontal principles.

The purpose of the updating of evaluations is to obtain relevant and reliable information on the implementation of ESI Funds and, at the same time, improve the quality of evaluation during the 2014-2020 programming period. The planned evaluations will be carried out with a view to improving ESI Funds implementation and assessing the effectiveness, efficiency and impacts of the ESI Funds.

After assessing and analysing of individual evaluation plans of Managing Authorities for the operational programmes for the 2014 -2020 programming period, themes chosen for evaluation were taken into account in the submitted material.

Summary overview of the revised planned evaluations of the ESI Funds for 2014 - 2020 is in Chapter 2 of this document.

Indicative summary list of the ESI Funds evaluations for 2014 - 2020 is in Chapter 3 of this document.

Out of the Plan for the Evaluation of the European Structural and Investment Funds for the 2014 - 2020 Programming Period, approved by the National Monitoring Committee for the ESI Funds by NMC Resolution ESIF/2015/1 on 3 December, 2015, the following evaluations were deleted due to duplicity or out-of-date:

- > Evaluation of the appropriateness of the setup of administrative capacities,
- Evaluation of the results of evaluations and of the evaluation activities of the ESI Funds/OP/HP for respective calendar years,
- Interim evaluation of progress in the implementation of HP at OP level (will be performed in 2017 and 2021 only),



- Evaluation of the ESIF contribution towards meeting the Europe 2020 Strategy objectives,
- > Assessment of compliance with milestones of the performance framework,
- ➢ Final evaluation of progress in the implementation of HP at OP level (the evaluation will be part of "Evaluation of the impacts of ESIF implementation" performance of which is planned for 2023).

Within the updating, the following evaluations were added to the submitted material:

- Evaluation of efficiency and effectiveness of instruments to support integrated territorial development,
- Assessment of results of performance framework and analysis of reallocation of performance reserve,
- Assessment of the ESI Funds influence on development of regional disparities (NUTS III and LAU1),
- Evaluation of the ESI Funds contribution to improving the quality of cooperation between entrepreneurial sector and R&D institutions,
- Evaluation of partnership in preparation and implementation of the Partnership Agreement of the SR.

After approval by the National Monitoring Committee for the ESI Funds, the revision of evaluations will be published on <u>www.partnerskadohoda.gov.sk</u> in section "Evaluation of the ESI Funds".



2 Summary Overview of ESIF Evaluations in the 2014-2020 Programming Period

| No. | Title of evaluation | Subject, objective and justification of the need for evaluation | Source of data | Timetable | Form | Commissioned by |
|-----|--|---|--|---|-----------------------|--------------------|
| | | YE | AR 2015 | | | |
| 1. | Qualitative analysis of recommendations from performed evaluations NSRF/OP/HP in the 2007– 2013 programing period and from the meta-evaluation performed | Subject of analysis: findings and recommendations from evaluations performed in the 2007-2013 programming period. Objective of the analysis: to elaborate on the findings and recommendations and specify the needs for the evaluation system, particularly when it comes to the implementation of the results of evaluation and the CCA tasks. Results of the analysis will be used to set up an efficient system for the taking of recommendations into account and for making the evaluation system run by the CCA more efficient, which is one of the conclusions of the meta-evaluation performed. | CCA, MA, HP coordinators, Summary reports and final reports from evaluations carried out in the 2007–2013 programming period, evaluator's questionnaire survey | 10/2015 – 06/2016 | internally | CCA (DME) |
| | | YE | AR 2016 | | | |
| No. | Title of evaluation | Subject, objective and justification of the need for evaluation | Source of data | Timetable | Form | Commissioned by |
| 2. | Structuring of the ESI Funds evaluation system (set up of evaluation concept including IT support) | Subject of evaluation: processes of evaluation. Based on evaluation results, objective of the structuring is to enable a set- up of system and structure of evaluation which will lead to more effective, transparent but mainly improved processes (activities) related to evaluation. This concerns processes influencing the level of fulfilment of requirements and expectations from the CCA as well as MA/CA and HP coordinators. | ESIF Management System for the 2014–2020 programming period, MI CCA, ITMS2014+ MA for OP, | In process of performance, anticipated termination 06/2017 | internally/externally | CCA (DME) |



| 3. | Evaluation of communication and information activities in the 2014 – 2020 programming period (first phase) | Subject of evaluation: Communication Strategy of the OP Technical assistance (OP TA) for the Partnership Agreement for the 2014 – 2020 Programming Period (PA SR) and Annual Communication Plans of the OP TA for the PA SR. Objective of the evaluation is to evaluate implementation of the Communication Strategy OP TA for the PA SR and activities of the Annual Communication Plan for the PA SR for 2016 | ITMS2014+ MA for OP, CCA, OP TA Communication strategy for PA for the 2014-2020 programming period, Annual communication plans | In performance, anticipated termination 06/2017 | externally | CCA (DME) and PD (GO of the SR)) |
|----|---|--|---|---|------------|--|
| 4. | Methodology for the evaluation of synergic effects and interventions of ESIF | Subject of evaluation: synergic effects of the ESIF interventions. Objective: to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. | CCA, MA for OP, HP coordinators EVALSED | In performance, anticipated termination 12/2016 | externally | CCA (DME) |
| 5. | Evaluation of the efficiency of the setup of ESIF processes | Subject of evaluation: ESIF processes. Objective of evaluation: to assess the effectiveness of the setup of ESIF processes. Evaluation is needed in order to simplify the existing ESI Funds Management System and make it more efficient. | ITMS2014+ CCA, MA, IB | 01/2016 – 09/2016 | externally | CCA (DMC) |
| 6. | Evaluation of the progress achieved in the implementation of Partnership Agreement by 31 December 2016 | Subject of evaluation: Partnership Agreement (PA SR) and its progress towards the Europe 2020 Strategy and national strategies. Objective of evaluation: to assess progress achieved in implementing of the Partnership Agreement of the SR by 31 December 2016 as a necessary condition for the progress report in 2017. | PA SR for 2014- 2020, Legislative, strategic and concept documents of EU and SR, National Reform Programme of the SR, ITMS2014+, IACS, AGIS, Annual reports on the implementation of OPs, | In performance, anticipated termination 06/2017 | externally | CCA (DME) |



| | | | Demonto en the | | | |
|-----|---|---|--|--|------------|---|
| | | | Reports on the | | | |
| | | | implementation of | | | |
| | | | the ESI Funds, MA | | | |
| | | | for OP, SO SR, | | | |
| | | | OECD | | | |
| | | YE | AR 2017 | | | |
| No. | Title of evaluation | Subject, objective and justification of the need for evaluation | Source of data | Timetable | Form | Commissioned by |
| 7. | Evaluation of the impacts of NSRF implementation on meeting the NSFR strategic objective | Subject of evaluation: interventions supported from the SF and CF as well as evaluation of impacts of the NSRF targets implementation (Convergence Target and Regional competitiveness and employment Target). Evaluation will also focus on evaluation of the SF and CF contribution to meeting the NSRF strategic target, the NSRF | Document "NSRF for 2007-2013", ITMS II, COLSAF, MA for OP / HP coordinators, Annual reports on the implementation | 01/2017 – 07/2017 | externally | CCA (DME) |
| | | strategic priorities and NSRF horizontal priorities. Evaluation will focus on quantification of effects of implementation of the SF and CF on the Slovak economy with emphasis on assessment of contribution to GDP and employment growth. | of OP/HP, Eurostat, OECD, SO SR | | | |
| 8. | Interim evaluation of the progress in the implementation of HP at OP level | Subject of evaluation: HP SD and HP EMaWaND at the level of OP. Objective of evaluation: to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs. | Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP/HP coordinators | In performance anticipated termination 06/2017 | externally | CCA (DME) and HP EMaWaND/HP SD |
| | | | AR 2018 | | | |
| No. | Title of evaluation | Subject, objective and justification of the need for evaluation | Source of data | Timetable | Form | Commissioned by |
| 9. | Evaluation of the Integrated Framework of Information and | Subject of evaluation: The Integrated Network of Information and Advisory | ITMS2014+, semi- annual evaluation | 07/2018 - 08/2018 | externally | CCA (DMC) |
| | Advisory Centres | Centres (IN IAC). The DPMOII will support, | by the MA of the | | | |



| | | in line with the PA, IN IAC which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation. | activities of individual IACs, semi-annual evaluation of the activities of the IN IAC coordinator, staff of the CCA, MA, IB, public, database of those interested in information on the ESI Funds, Annual Reports of IACs | | | |
|-----|--|---|---|------|------------|-----------------------|
| 10. | Evaluation of communication and information activities in the 2014 – 2020 programming period (second phase) | Subject of evaluation: Communication Strategy of the OP Technical Assistance (OP TA) for the Partnership Agreement for the 2014 – 2020 Programming Period (PA SR) and Annual Communication Plan of the OP TA for the PA SR. Objective of the evaluation: to evaluate the fulfilment of the Communication Strategy of the OP TA for the PA SR and activities of the Annual Communication Plan OP TA for the PA SR for 2017 – 2018. | ITMS2014+, MA for OP, CCA, Communication strategy OP TA for PA for the 2014– 2020 programming period, Annual communication plans | 2018 | externally | CCA (GO SR - IPD) |
| 11. | Evaluation of partnership in preparation and implementation of the Partnership Agreement of the SR | Subject of evaluation: Involvement of partners in the implementation of the Partnership Agreement. Objective of evaluation: to assess partners ' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. | PA of the SR for 2014 – 2020, MA for OP, CCA, Monitoring Committee for OP, administrative data. | 2018 | externally | CCA (DME) |



| | | The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation ¹ . | | | | |
|-----|--|--|---|-------------------|------------|-----------|
| 12. | Evaluation of the progress achieved in the implementation of Partnership Agreement by 31 December 2018 | Subject of evaluation: Partnership Agreement of the SR and its progress achieved towards the Europe 2020 Strategy and national strategies. Objective of the evaluation: to evaluate the progress achieved in the implementation of the Partnership Agreement of the SR by 31 December 2018 as a necessary condition for the progress report of the SR in 2019. | PA SR for 2014– 2020 Legislative, strategic and concept documents of the EU and the SR, ITMS2014+, IACS, AGIS, Annual reports on the implementation of OPs, Reports on the implementation of the ESI Funds, SO SR, MA of OP, Eurostat, OECD | 06/2018 – 06/2019 | externally | CCA (DME) |
| 13. | Evaluation of efficiency and effectiveness of instruments for support of integrated territorial development | Subject of evaluation: efficiency and effectiveness of instruments for support of integrated territorial development. Objective of evaluation: evaluation of instruments for support of integrated territorial development. Evaluation results from the need to assess efficiency and effectiveness of instruments | Administrative data, ITMS2014+, IACS, AGIS, MA for OP, ITD coordinators and national coordinators, | 2018 - 2019 | externally | CCA (DME) |

¹ REGULATION (EU) No 1303/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006



| | | for support of integrated territorial development. | data received targeted from RITS and ITS FUA, data from Partnership Councils created at level of RITS, strategic and programming documents for management and implementation of ITS, relevant annual and evaluating reports. | | | |
|-----|--|---|--|------------|-----------------------|--------------------|
| | | YE | AR 2019 | | | |
| No. | Title of evaluation | Subject, objective and justification of the need for evaluation | Source of data | Timetable | Form | Commissioned by |
| 14. | Assessment of the meeting of indicators' milestones of the performance framework and analysis of possibilities of | Subject of evaluation: indicators milestones of performance framework. Objective of evaluation: to assess the meeting of indicators milestones of performance | ESIF Management system, MA for OP, | | | |
| | reallocation of the performance reserve | framework. Based on analysis performed and on OP absorption and performance capacity, evaluation shall serve for preparation of draft of reallocation of the performance reserve. | binding plans of OP, OP annual implementation reports, MI CCA, independent monitoring subject. | 03-06/2019 | internally/externally | CCA (DME) |



| | | coordinator of HP "Sustainable | | | | |
|-----|---|---|---------------------------|-----------|------------|--------------|
| | | Development" is to evaluate its | | | | |
| | | implementation and submit reports to the | | | | |
| | | government. It will therefore be appropriate | | | | |
| | | to perform at least one external evaluation | | | | |
| 16. | Interim impact evaluation of the | during the period of implementation. Subject of evaluation: HP objectives | ITMS2014+, | | | |
| 10. | meeting of HP objectives | according to OP priorities and at the level of | Annual reports on | | | |
| | according to OP priorities at the | the Partnership Agreement. | implementation of | | | |
| | level of Partnership Agreement | Objective of evaluation: to assess impacts of | OP, | | | CCA (DME) |
| | <u>r</u> | achieved HP objectives according to OP and | HP coordinators, | 2019 | externally | and HP |
| | | at the level of the Partnership Agreement and | MA for OP, | | | EMaWaND/HP |
| | | to formulate recommendations should | SO SR, | | | SD |
| | | problems occur. Required under EU | Eurostat | | | |
| | | Regulation. | | | | |
| | | YE | AR 2020 | | | |
| No. | Title of evaluation | Subject, objective and justification of the | Source of data | Timetable | Form | Commissioned |
| | | need for evaluation | | Thictable | T Of M | by |
| 17. | Impact assessment of the ESI | Subject of evaluation: Impact on | ITMS2014+, | | | |
| | Funds on development of | development of regional disparities (NUTS | SO SR | | | |
| | regional disparities (NUTS III and LAU1) | III and LAU1). Objective of evaluation: to evaluate the development of regional | | | | |
| | and LAUT) | disparities at the level of regions and counties | | 2020 | externally | CCA (DME) |
| | | during the last 10 years (specifically the least | | 2020 | externally | CCA (DML) |
| | | developed counties) and to identify main | | | | |
| | | factors generating lower economic growth | | | | |
| | | and to assess contribution of the EU support. | | | | |
| 18. | ESIF contribution towards the | Subject of evaluation: ESIF contribution | SO SR | | | |
| | creation of jobs with emphasis | towards the creation of jobs. Objective of | ITMS2014+, | | | |
| | on sustainable, inclusive and | evaluation: to evaluate the impact of ESIF | COLSAF, | | | |
| | smart growth | interventions on the labour market, | OP and their | 2020 | externally | CCA (DME) |
| | | opportunities for job creation, and their | annual reports, | | | |
| | | impact on sustainable, inclusive and smart | EU and Slovak | | | |
| 1 | | growth of the economy. | legislation | | | |
| | | · · · · | | | | |
| | | · · · | AR 2021 | | | |
| No. | Title of evaluation | · · · | AR 2021 Source of data | Timetable | Form | Commissi |



| 19. | Evaluation of communication and information activities in the 2014–2020 programming period (third phase) | Subject of evaluation: information and communication in the 2014 – 2020 programming period. Objective of evaluation: to evaluate the effectiveness and efficiency of information and communication in the 2014–2020 programming period by analysis of data and final opinion survey. | ITMS2014+, MA for OP, CCA, Communication strategy OP TA for PA for the 2014– 20 programming period, Annual communication plans | 2021 | externally | CCA (OIP) |
|-----|--|---|--|-------------------|-----------------------|--|
| 20. | Interim evaluation of progress in the implementation of HP at OP level | Subject of evaluation: HP SD and HP EMaWaND at the level of OPs. Objective of evaluation: to assess functionality of mechanisms created to ensure the implementation of horizontal principles within the ESI Funds implementation. Evaluation will focus on assessment of key measures adopted in relation to implementation of the horizontal principles within operational programmes. | Annual reports on the implementation of OPs/HP, ITMS2014+, MA for OP/HP coordinators | 02/2021 – 04/2021 | externally | CCA(DME) and HP EMaWaND/HP SD |
| 21. | Evaluation of the ESIF contribution to increasing the quality of cooperation between entrepreneurial sector and research and development institutions | Subject of evaluation: ESIF contribution to entrepreneurial sector and research and development institutions. Objective of evaluation: to evaluate the results of cooperation between research institutions and entrepreneurial sector and to assess qualitatively and quantitatively the efficiency and effects of the ESIF interventions on this cooperation. | ITMS2014+, primary data from interviews with MA/IB and beneficiaries | 2020 - 2021 | externally | CCA (DME) |
| | | YE | AR 2022 | | | |
| No. | Title of evaluation | Subject, objective and justification of the need for evaluation | Source of data | Timetable | Form | Commissioned by |
| 22. | Evaluation of the findings from the ESIF evaluations carried out in the 2014-2020 programming period | Subject of evaluation: findings and recommendations from the ESIF evaluations performed in the 2014 – 2020 programming period. Objective of evaluation: evaluation of findings and recommendations form the ESIF | Summary reports on evaluation activities and on the results of ESIF/OP/HP | 09/2022 – 12/2022 | internally/externally | CCA (DME) |



| 23. | Evaluation of the meeting of objectives/action plans of the strategic document SD 2015+ from the viewpoint of ESIF | evaluations performed in the 2014 -2020 programming period. The evaluation outputs will serve as a basis for the preparation of the Summary Report on the Evaluation of ESIF Implementation in the 2014–2020 programming period. Subject of evaluation: implementation of the ESI Funds in the 2014 -2020 programming period. Objective of evaluation: to assess the implementation of the strategic document SD 2015+. According to PA SR 2014–2020, the strategic document SD 2015+ is mandatory for the implementation of the horizontal principle "Sustainable Development". The coordinator of HP "Sustainable Development" is to evaluate its implementation and submit reports to the government. | evaluations, MA for OP, HP coordinators ITTMS2014+, Annual reports on the implementation of OPs, MA for OP, SO SR Eurostat, OECD | 2022 | externally | CCA (HPD) |
|-----|---|---|--|-----------|------------|--------------------|
| | | | AR 2023 | | | |
| No. | Title of evaluation | Subject, objective and justification of the need for evaluation | Source of data | Timetable | Form | Commissioned by |
| 24. | Impact evaluation of the ESIF implementation | Subject of evaluation: ESI Funds implementation in the 2014 – 2020 programming period. Objective of evaluation: | ITMS2014+, OP monitoring reports, SO SR, MA for OP/HP coordinators, CCA. | 2023 | externally | CCA (DME) |



3 Indicative summary list of ESIF evaluations revision in the 2014–2020 programming period

| 1. | Qualitative analysis of recommendations from performed |
|----------------------------|--|
| 1. | evaluations of NSRF/OP/HP in the 2007–2013 programing |
| | period and from the meta-evaluation performed |
| Subject, objective and | Subject of analysis: findings and recommendations from |
| justification of the need | evaluations performed in the 2007-2013 programming period. |
| for evaluation | Objective of the analysis: to elaborate on the findings and |
| | recommendations and specify the needs for the evaluation |
| | system, particularly when it comes to the implementation of the |
| | results of evaluation and the CCA tasks. |
| | Results of the analysis will be used to set up an efficient system |
| | for the taking of recommendations into account and for making |
| | the evaluation system run by the CCA more efficient, which is |
| | one of the conclusions of the meta-evaluation performed. |
| Source of data | CCA, MA for OP/HP coordinators, |
| | Summary reports and final reports from evaluations carried out |
| | in the 2007–2013 programming period, evaluator's |
| | questionnaire survey |
| Basic evaluation | What are the challenges and risks identified by evaluations |
| questions | (including the process and use of outputs) on which the future |
| 1 | activities should focus? |
| | What are the needs of further development of the evaluation |
| | system with a view to the tasks and positon of the CCA? |
| | What is the efficient mode of communication and support for |
| | the use of the findings and recommendations from evaluations? |
| Proposed methods | Desk research, questionnaire survey, multi-criteria methods, |
| - | SWOT analysis |
| Timetable | Finished 06/2016 |
| Form | Internally |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Members of the Evaluation Supervision Working Group (set up |
| | within the CCA), |
| | Members of the Working Group for Evaluation |
| Financial management | - |
| of the project | |
| 2. | Structuring of the ESIF evaluation system (set up of |
| | evaluation concept including IT support); |
| Subject, objective and | Subject of evaluation: processes of evaluation. |



| justification of the need | Based on evaluation results, objective of the structuring is to |
|--|---|
| justification of the need for evaluation | enable a set-up of system and structure of evaluation which will |
| for cvaluation | lead to more effective, transparent but mainly improved |
| | processes (activities) related to evaluation. This concerns |
| | processes influencing the level of fulfilment of requirements |
| | and expectations from the CCA as well as MA/CA and HP |
| | coordinators. |
| Source of data | ESIF Management System for the 2014–2020 programming |
| | period, |
| | MI CCA, |
| | ITMS2014+, |
| | MA for OP |
| Basic evaluation | Is the ESIF evaluation system suitably applied from a |
| questions | viewpoint of providing evaluation of results and impacts of |
| | financial assistance from the EU funds? |
| | How are the proposed indicators, linked to investment |
| | priorities, thematic objectives, horizontal principles and the EU2020 Strategy, designed and evaluated? |
| | How do the proposed common evaluation questions reflect the |
| | need to coordinate evaluation in strategic areas (synthesis of |
| | several MAs)? |
| | What are the subsequent needs in terms of ensuring the |
| | availability of data on a continuous basis? |
| Proposed methods | benchmarking, brainstorming, delphi, focus group |
| Timetable | In process of performance, anticipated termination 06/2017 |
| Form | internally/externally |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator Invited to comment | Evaluation Unit |
| Invited to comment | Members of the Evaluation Supervision Working Group, |
| Financial management | Members of the Working Group for Evaluation Project Preparation and Implementation Unit, CCA |
| of the project | Troject Treparation and Implementation Ont, CEA |
| 3. | Evaluation of communication and information activities in |
| | the 2014-2020 programming period |
| Subject, objective and | Subject of evaluation: Communication Strategy of the OP |
| justification of the need | Technical assistance (OP TA) for the Partnership Agreement |
| for evaluation | for the 2014 – 2020 Programming Period (PA SR) and Annual |
| | Communication Plans of the OP TA for the PA SR. |
| | Objective of the evaluation is to evaluate implementation of the |
| | Communication Strategy OP TA for the PA SR and activities |
| ~ | of the Annual Communication Plans for the PA SR. |
| Source of data | ITMS2014+, |
| | MA for OP, |



| | 004 |
|---|---|
| | CCA, |
| | Communication strategy OP TA for PA for the 2014–20 |
| | programming period, |
| | Annual communication plans |
| Basic evaluation | How effective are the communication and information activities |
| questions | in the area of ESI Funds? |
| | To what extent have individual types of communication and |
| | information activities contributed towards raising awareness on |
| | the ESI Funds among professional/general public? |
| | Have the communication and information activities in the area |
| | of ESI Funds contributed towards raising awareness on their |
| | benefits among the population? |
| Proposed methods | Desk research, semi-structure (in-depth) interview, comparative |
| i roposed methods | method, questionnaire public opinion survey, theory based |
| | impact evaluation, synthesis |
| Timetable | 1st phase - 2016 |
| | 2nd phase - 2018 |
| | 3rd phase – 2018 |
| Earres | |
| Form | Externally |
| Responsible for the | Evaluation Unit in cooperation with the Information and |
| preparation of the | Publicity Department (The Government Office of the SR) |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation, |
| | Working group for information and communication |
| T | Project Preparation and Implementation Unit, CCA |
| Financial management | |
| Financial management of the project | |
| | Methodology for the evaluation of synergic effects and |
| of the project | Methodology for the evaluation of synergic effects and interventions of ESIF; |
| of the project | |
| of the project 4. | interventions of ESIF; |
| of the project 4. Subject, objective and | interventions of ESIF;Subject of evaluation: synergic effects of the ESIF |
| of the project 4. Subject, objective and justification of the need | interventions of ESIF;Subject of evaluation: synergic effects of the ESIFinterventions. |
| of the project 4. Subject, objective and justification of the need | interventions of ESIF;Subject of evaluation: synergic effects of the ESIFinterventions.Objective of evaluation is to develop a methodological manualfor the evaluation of complementarities and synergies of |
| of the project 4. Subject, objective and justification of the need | interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and |
| of the project 4. Subject, objective and justification of the need for evaluation | interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. |
| of the project 4. Subject, objective and justification of the need | interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, |
| of the project 4. Subject, objective and justification of the need for evaluation | interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP |
| of the project 4. Subject, objective and justification of the need for evaluation | interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP HP coordinators, |
| of the project 4. Subject, objective and justification of the need for evaluation Source of data | interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP HP coordinators, EVALSED |
| of the project 4. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP HP coordinators, EVALSED What are the synergic effects resulting from interventions |
| of the project 4. Subject, objective and justification of the need for evaluation Source of data | interventions of ESIF;Subject of evaluation: synergic effects of the ESIF interventions.Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation.CCA, MA for OP HP coordinators, EVALSEDWhat are the synergic effects resulting from interventions supported from EU sources? |
| of the project 4. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | interventions of ESIF; Subject of evaluation: synergic effects of the ESIF interventions. Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation. CCA, MA for OP HP coordinators, EVALSED What are the synergic effects resulting from interventions supported from EU sources? How do the synergic effects contribute towards the meeting the |
| of the project 4. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | interventions of ESIF;Subject of evaluation: synergic effects of the ESIF interventions.Objective of evaluation is to develop a methodological manual for the evaluation of complementarities and synergies of interventions from the ESIF and other support instruments, and specification of the themes subject to further evaluation.CCA, MA for OP HP coordinators, EVALSEDWhat are the synergic effects resulting from interventions supported from EU sources? |



| | Which areas of the ESI Funds implementation are key for the evaluation of contribution of interventions towards meeting the objectives of the Europe 2020 Strategy? |
|---|--|
| Proposed methods | survey, interview, questionnaires, quantitative and qualitative |
| | methods, desk research |
| Timetable | In process of performance, anticipated termination 12/2016 |
| Form | Externally |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 5. | Evaluation of the efficiency of the setup of ESIF processes |
| Subject, objective and | The purpose of the evaluation is to assess the efficiency of the |
| justification of the need for evaluation | setup of selected processes within the ESI Funds Management |
| | System and propose measures to increase their efficiency. At the moment, the following processes appear to be suitable: 1. Phase of the publication of calls, 2. Preparation of applications by potential beneficiaries, 3. Formal evaluation of applications for grants, 4. Evaluation of the content of grant applications and of the system for project selection, plus other processes. The objective is to provide detailed analysis of selected ESIF processes based on the data from the processes implemented within the 2007–2013 operational programmes and compared against the presently applicable management documentation for the 2014-2020 programming period. Due to the implementation of new projects, the analysis will also include the processes implemented during the 2014-2020 programming period. The main output will be a proposal for measures to be adopted by the managing authorities in order to fine-tune their processes. The evaluation is needed in order to simplify the existing ESI Funds Management System and make it more efficient. |
| Source of data | ITMS2014+ CCA |
| Pagia avaluation | MA/IB What is the officiency of the selected processes? |
| Basic evaluation | What is the efficiency of the selected processes? |
| auastions | How can the atticiancy of the calected processes be increased? |
| questions | How can the efficiency of the selected processes be increased? What are the recommendations and proposals to improve the |
| questions | What are the recommendations and proposals to improve the |
| questions | |



| | of processes. |
|-------------------------------|---|
| Proposed methods | SWOT analysis, Balanced Scorecard, questionnaire surveys, |
| Troposed methods | field qualitative research |
| Timetable | 01/2016 - 09/2016 |
| Form | externally |
| Responsible for the | DMC |
| preparation of the | Diffe |
| terms of reference | |
| Responsible for the | DMC |
| takeover and | |
| acceptance of the | |
| Works | |
| Evaluation coordinator | DMC |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 6. | Evaluation of the progress achieved in the implementation |
| | of Partnership Agreement by 31 December 2016 |
| Subject, objective and | Subject of evaluation is the Partnership Agreement (PA SR) |
| justification of the need | and its progress towards the Europe 2020 Strategy and national |
| for evaluation | strategies. |
| | Objective of evaluation is to assess the progress achieved in |
| | implementation of the Partnership Agreement of the SR by 31 |
| | December 2016 as a necessary condition for the progress report |
| | in 2017. |
| Source of data | PA SR for 2014–2020, |
| | legislative, strategic and concept documents of the EU and |
| | Slovakia, National Reform Programme |
| | National Reform Programme, ITMS2014+, |
| | IACS, AGIS, |
| | annual implementation reports of OPs, |
| | reports on progress in the implementation of the ESI Funds, |
| | MA for OP |
| | Eurostat, |
| | SO SR, |
| | OECD |
| Basic evaluation | How did the development need in economic, social and |
| questions | territorial development of Slovakia as well as the relevance of |
| - | the expected results of the thematic objectives change in |
| | comparison to base-line situation since the adoption of the PA |
| | SR? |
| | What were the gross effects of interventions implemented |
| | within the thematic objectives of the PA in relation to the |
| | objectives of the Europe 2020 Strategy, the meeting of the |
| | National Reform Programme and the Council and the |
| | Commission specific recommendations for Slovakia and, if |
| | suitable, to the needs at regional level? |



| Proposed methods | analysis of time series, desk research, regression analysis |
|-------------------------------|---|
| Timetable | in process of performance, anticipated termination 06/2017 |
| Form | Externally |
| | Evaluation Unit |
| Responsible for the | |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | CCA departments, |
| | Evaluation Supervision Working Group, |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 7. | Evaluation of the impacts of NSRF implementation on |
| | meeting the NSFR strategic objective |
| Subject, objective and | Subject of evaluation are the interventions supported from the |
| justification of the need | SF and CF as well as evaluation of impacts of the NSRF targets |
| for evaluation | implementation (Convergence Target and Regional |
| | competitiveness and employment Target). |
| | Evaluation will also focus on evaluation of the SF and CF |
| | contribution to meeting the NSRF strategic target, the NSRF |
| | strategic priorities and NSRF horizontal priorities. |
| | Evaluation will focus on quantification of effects of the |
| | implementation of the SF and CF on the Slovak economy with |
| | emphasis on assessment of contribution to GDP and |
| | employment growth. |
| Source of data | Document "NSRF for 2007–2013", |
| | ITMS II. |
| | |
| | COLSAF. |
| | COLSAF, MA for OP. |
| | MA for OP, |
| | MA for OP, HP coordinators |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, |
| Basic evaluation | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR |
| Basic evaluation | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the |
| Basic evaluation questions | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective? |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective? What was the impact/effect of the contribution granted from the |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective? What was the impact/effect of the contribution granted from the SF and CF on reducing regional and social disparities (GDP, |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective? What was the impact/effect of the contribution granted from the SF and CF on reducing regional and social disparities (GDP, unemployment rate, poverty rate, infrastructure provided, etc.) |
| | MA for OP, HP coordinators Annual reports on the implementation of OPs/HPs Eurostat OECD, SO SR What was the impact/effect of the contribution granted from the SF and CF on the implementation of the NSRF targets (Convergence Target and Regional competitiveness and employment Target)? What was the impact/effect of the contribution granted from the SF and CF on meeting the NSRF strategic objective? What was the impact/effect of the contribution granted from the SF and CF on reducing regional and social disparities (GDP, |



| | 1 |
|---|---|
| | NSRF been met? If not, specify for what reasons given |
| | indicators have not been met. |
| | To what extent have the objectives of the NSRF priorities and |
| | objectives of the horizontal priorities been met? |
| | Were the funds from the SF and CF used purposefully, |
| | effectively and efficiently in the implementation of the NSRF? |
| | If not, describe why. |
| | To what extent did the implementation of the NSRF contribute |
| | to the Lisbon process/the Europe 2020 Strategy? |
| Proposed methods | time series analysis, regression analysis, econometric model |
| - | Hermin |
| Timetable | 01/2017 - 07/2017 |
| Form | Externally |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| Invited to comment | Working Group for Evaluation |
| | Working group for monitoring |
| | |
| Financial management | Project Preparation and Implementation Unit CCA |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 8 | Interim evaluation of the progress in the implementation of |
| of the project 8. | Interim evaluation of the progress in the implementation of HP at OP level |
| of the project 8. Subject, objective and | Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the |
| of the project 8. Subject, objective and justification of the need | Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. |
| of the project 8. Subject, objective and | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of |
| of the project 8. Subject, objective and justification of the need | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal |
| of the project 8. Subject, objective and justification of the need | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will |
| of the project 8. Subject, objective and justification of the need | Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to |
| of the project 8. Subject, objective and justification of the need for evaluation | Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs. |
| of the project 8. Subject, objective and justification of the need | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, |
| of the project 8. Subject, objective and justification of the need for evaluation | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, |
| of the project 8. Subject, objective and justification of the need for evaluation | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data | Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs. Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinators |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs. Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinators Can the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and monitoring the application of HP SD principles within |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | Interim evaluation of the progress in the implementation of HP at OP level Subject of evaluation are HP SD and HP EMaWaND at the level of OP. Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs. Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinators Can the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and monitoring the application of HP SD principles within individual operational programmes? |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and monitoring the application of HP SD principles within individual operational programmes? To what extent did the implementation of interventions |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and monitoring the application of HP SD principles within individual operational programmes? To what extent did the implementation of interventions supported from the ESI Funds contribute to the meeting of the |
| of the project 8. Subject, objective and justification of the need for evaluation Source of data Basic evaluation | Interim evaluation of the progress in the implementation of HP at OP levelSubject of evaluation are HP SD and HP EMaWaND at the level of OP.Objective of evaluation is to assess the functionality of mechanisms created to ensure application of horizontal principles within the ESIF implementation. Evaluation will focus on assessment of key measures adopted in relation to application of the horizontal principles within OPs.Annual reports on the implementation of OP/HP, ITMS2014+, MA for OP HP coordinatorsCan the mechanisms set up at national (the Partnership Agreement) level achieve to effectively enforce the application of HP SD principles in the ESI Funds implementation? What is the efficiency of measures adopted for supporting and monitoring the application of HP SD principles within individual operational programmes? To what extent did the implementation of interventions |

| | effectively enforce the application of HP SD principles in the ESI Funds implementation? |
|---|--|
| | What is the effectiveness of measures adopted for supporting and monitoring the application of HP EMaWaND principles |
| | within individual operational programmes? |
| | To what extent did the implementation of interventions supported from the ESI Funds contribute to the meeting of the |
| | objectives defined for HP EMaWaND in national strategic |
| | document? |
| Proposed methods | administrative data analysis, case studies, interview, |
| Timetable | benchmarking In performance, anticipated termination 06/2017, |
| Thickupic | 02/2021 - 04/2021 |
| Form | externally |
| Responsible for the | Evaluation Unit in cooperation with HP SD and HP |
| preparation of the terms of reference | EMaWaND coordinators |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation Coordination Committee HP EMaWaND |
| Financial management of | |
| | Project Preparation and Implementation Unit, CCA |
| the project | Project Preparation and Implementation Unit, CCA |
| | |
| the project | Project Preparation and Implementation Unit, CCA Evaluation of the Integrated Network of Information and Advisory Centres |
| the project9.Subject, objective and | Evaluation of the Integrated Network of Information and Advisory Centres Subject of evaluation is The Integrated Network of Information |
| the project 9. Subject, objective and justification of the need | Evaluation of the Integrated Network of Information and Advisory Centres Subject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC). |
| the project9.Subject, objective and | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC). The DPMOII will support, in line with the PA, the Integrated |
| the project 9. Subject, objective and justification of the need | Evaluation of the Integrated Network of Information and Advisory Centres Subject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC). |
| the project9.Subject, objective and justification of the need | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. |
| the project 9. Subject, objective and justification of the need | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the |
| the project 9. Subject, objective and justification of the need | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further |
| the project9.Subject, objective and justification of the need | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the |
| the project9.Subject, objective and justification of the need | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, |
| the project 9. Subject, objective and justification of the need for evaluation | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of |
| the project 9. Subject, objective and justification of the need for evaluation | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning.The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of individual IACs, |
| the project 9. Subject, objective and justification of the need for evaluation | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of |
| the project 9. Subject, objective and justification of the need for evaluation | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of individual IACs, Semi-annual evaluation of activities of IN IAC coordinator, |
| the project 9. Subject, objective and justification of the need for evaluation | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning.The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of individual IACs, Semi-annual evaluation of activities of IN IAC coordinator, CCA, MA, IB staff |
| the project 9. Subject, objective and justification of the need for evaluation | Evaluation of the Integrated Network of Information and Advisory CentresSubject of evaluation is The Integrated Network of Information and Advisory Centres (IN IAC).The DPMOII will support, in line with the PA, the Integrated Network of Information and Advisory Centres (IN IAC) which will provide information on ESI Funds. Evaluation will be carried out after 2 years of IN IAC functioning. The results of the evaluation will serve as a basis for the decision on whether or not the IN IAC will be supported further and, if so, the evaluation will identify options for streamlining the IN IAC operation.ITMS, Semi-annual evaluation by the MA of the activities of individual IACs, Semi-annual evaluation of activities of IN IAC coordinator, |



| Basic evaluation | Is the provision of information by one centre in each region |
|---|---|
| questions | efficient? |
| | Would it be appropriate to set up an IAC also in the Bratislava |
| | Self-Governing Region? |
| | Are the entities involved in the management and control of |
| | the ESI Funds satisfied with the functioning of IN IAC? |
| | Are the persons/entities seeking information on the ESI Funds |
| | satisfied with the activities of individual IACs? |
| Proposed methods | desk research (analysis of reports), survey, interview, |
| | benchmarking |
| Timetable | 07/2018 - 08/2018 |
| Form | externally |
| Responsible for the | DMC |
| preparation of the | |
| terms of reference | |
| Responsible for the | DMC |
| takeover and | |
| acceptance of the | |
| Works | |
| Evaluation coordinator | DMC |
| Invited to comment | Evaluation Supervision Working Group |
| | all MA for OP |
| | Information and Publicity Department |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 10. | Evaluation of the partnership in preparation and implementation of the Partnership Agreement of the SR |
| Subject objective and | |
| subject, objective and | Subject of evaluation is the involvement of partners in the |
| Subject, objective and justification of the need | Subject of evaluation is the involvement of partners in the implementation of the Partnership Agreement. |
| justification of the need | implementation of the Partnership Agreement. |
| • • • | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in |
| justification of the need | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of |
| justification of the need | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in |
| justification of the need | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and |
| justification of the need | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring |
| justification of the need | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. |
| justification of the need | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide |
| justification of the need | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress |
| justification of the need | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general |
| justification of the need for evaluation | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. |
| justification of the need for evaluation | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, |
| justification of the need for evaluation | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and |
| justification of the need for evaluation | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020 |
| justification of the need for evaluation Source of data Basic evaluation | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and |
| justification of the need for evaluation Source of data Basic evaluation | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020 |
| justification of the need for evaluation Source of data Basic evaluation | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020 programming period? To what extent did the involvement of partners make the implementation of the ESI Funds in the 2014 – 2020 |
| justification of the need for evaluation Source of data Basic evaluation | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020 programming period? To what extent did the involvement of partners make the |
| justification of the need for evaluation Source of data Basic evaluation | implementation of the Partnership Agreement. Objective of evaluation is to assess partners' tasks in preparation of Progress Report of the SR, involvement of partners in process of programmes implementation and evaluation including their participation in Monitoring Committees of the OPs. The performance of evaluation results from the need to provide information on partners' tasks in preparation of the Progress Report of the SR in connection with Article 5 of the general regulation. PA of the SR for 2014 – 2020, MA for OP, CCA, MC for OP, administrative data To what extent were the partners involved in preparation and implementation of the ESI Funds in the 2014 – 2020 programming period? To what extent did the involvement of partners make the implementation of the ESI Funds in the 2014 – 2020 |



| FormExternallyResponsible for the preparation of the terms of referenceEvaluation UnitResponsible for the takeover and acceptance of the WorksPursuant to a partial Contract for Works or under the Pub Procurement Act or the EU directive on public procurement | |
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| terms of referenceResponsible for the takeover and acceptance of thePursuant to a partial Contract for Works or under the Pub Procurement Act or the EU directive on public procurement | |
| Responsible for the takeover and acceptance of thePursuant to a partial Contract for Works or under the Pub Procurement Act or the EU directive on public procurement | |
| takeover and acceptance of theProcurement Act or the EU directive on public procurement | •• |
| acceptance of the | 11C |
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| Works | |
| | |
| Evaluation coordinator Evaluation Unit | |
| Invited to comment Evaluation Supervision Working Group, | |
| Working Group for Evaluation | |
| partners ² | |
| Financial management Project Preparation and Implementation Unit, CCA | |
| of the project | |
| 11. Evaluation of the progress achieved in the implementation | on |
| of Partnership Agreement by 31 December 2018 | J 11 |
| Subject, objective andSubject of evaluation is the Partnership Agreement of the S | SP. |
| justification of the need and its progress achieved towards the Europe 2020 Strategy a | |
| | liu |
| e | 1 |
| Objective of the evaluation is to evaluate the progress achiev | |
| in the implementation of the Partnership Agreement of the S | |
| by 31 December 2018 as a necessary condition for the progre | SS |
| report of the SR in 2019. | |
| Source of data PA SR for 2014–2020 | |
| Legislative, strategic and concept documents of the EU and the | e |
| SR, ITMS2014+, IACS, AGIS, | |
| Annual reports on the implementation of OPs, | |
| Reports on the implementation of the ESI Funds, | |
| SO SR, MA of OP, Eurostat, | |
| OECD | |
| Basic evaluation How did the development need in the economic, social a | nd |
| questions territorial development of Slovakia as well as the relevance | of |
| the expected results of the thematic objectives change | |
| comparison to base-line situation since the adoption of the H | |
| SR? | - |
| What were the gross effects of interventions implement | ed |
| within the thematic objectives of the PA in relation to t | |
| objectives of the Europe 2020 Strategy, the meeting of t | |
| National Reform Programme and the Council and t | |
| Commission specific recommendations for Slovakia and, | |
| suitable, to the needs at regional level? | 11 |
| | |
| Proposed methodstime series analysis, desk research, regression analysisTime to blo06/2018 | |
| Timetable 06/2018 – 06/2019 Example externally | |
| Form externally | |
| Responsible for the Evaluation Unit | |

² According to Art. 5 of the Regulation (EU) No 1303/2013 of the European Parliament and of the Council



| preparation of the | |
|---|-----------------------|
| terms of reference | |
| Responsible for the Pursuant to a partial Contract for Works | |
| takeover andProcurement Act or the EU directive on put | blic procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator Evaluation Unit | |
| Invited to comment CCA departments | |
| Evaluation Supervision Working Group, | |
| Working Group for Evaluation | |
| Financial management of Project Preparation and Implementation Unit, C | CCA |
| the project | |
| 12. Evaluation of efficiency and effectivenes | |
| support of integrated territorial develop | |
| Subject, objective and Until now, support of integrated territoria | 1 |
| justification of the need the EU funds was implemented in Slovak | • |
| for evaluation rural development (LEADER). In the | |
| programming period integrated territoria | 1 |
| been extended also to other areas of supp | |
| necessary to assess the efficiency and effe | |
| instruments also with regard to preparation | on of this instrument |
| type application after 2020. | |
| Source of data administrative data, | |
| ITMS2014+, IACS, AGIS, | |
| MA for OP, ITD coordinators and national | coordinators, |
| data received targeted from RITS and ITS | FUA, data from |
| Partnership Councils created at level of RI | ΓS, |
| strategic and programming documents for | or management and |
| implementation of ITS, | |
| relevant annual and evaluating reports. | |
| Basic evaluation Which instruments are in place to sup | port the integrated |
| questions territorial development within the ESI Fund | ds? |
| What is the effectiveness of the i | ntegrated territorial |
| development instruments or, respectivel | y, which are their |
| strengths and weaknesses under the cond | itions of the 2014 – |
| 2020 programming period? | |
| What is the quality of management | - |
| instruction for individual integrated terr | ritorial development |
| instruments? | |
| How it is possible to strengthen the su | upport of integrated |
| territorial development after 2020? | |
| Proposed methods qualitative analysis, quantitative analysis, r | management systems |
| analysis, focus group, interviews | |
| Timetable 2018/2019 | |
| Form externally | |
| Responsible for the Evaluation Unit | |
| preparation of the | |
| | |
| terms of reference | |



| takeover and | Procurement Act or the EU directive on public procurement |
|-------------------------------|---|
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 13. | Assessment of the meeting of indicators' milestones of the |
| | performance framework and analysis of possibilities of |
| | reallocation of the performance reserve |
| Subject, objective and | Subject of evaluation are indicators' milestones of performance |
| justification of the need | framework. |
| for evaluation | Objective of evaluation is to assess the meeting of indicators |
| | milestones of performance framework. |
| | Based on analysis performed and on OP absorption and |
| | performance capacity, evaluation shall serve for preparation of |
| | draft of reallocation of the performance reserve. |
| Source of data | ITMS2014+, |
| Source of data | ESIF Management system, |
| | MA for OP, |
| | binding plans of OP, OP annual implementation reports, MI |
| | CCA, |
| | , |
| Basic evaluation | independent monitoring subject. |
| | Have the performance framework milestones been achieved? |
| questions | Is there a possibility and are there reasons for the reallocation |
| December of the dec | of the performance reserve? |
| Proposed methods | Time series analysis, regression analysis, calculation of |
| | indicators and indices |
| Timetable | 03/2019 - 06/2019 |
| Form | internally/externally |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 14. | ESIF contribution towards the creation of jobs with |
| | emphasis on sustainable, inclusive and smart growth |
| Subject, objective and | Subject of evaluation is the ESIF contribution towards the |
| justification of the need | creation of jobs. |
| for evaluation | Objective of evaluation is to evaluate the impact of ESIF |
| | interventions on the labour market, opportunities for job |
| k | ······································ |



| | and in and their increase an analytically inclusion and another |
|-------------------------------|---|
| | creation, and their impact on sustainable, inclusive and smart |
| | growth of the economy. |
| Source of data | ITMS2014+, |
| | SO SR, |
| | COLSAF, |
| | OP and their annual reports, |
| | EU and Slovak legislation |
| Basic evaluation | Did the modular-education programmes and activities focus on |
| questions | the development of soft skills and support of professional |
| | competencies and their development? |
| | Have the set objectives been achieved mainly thanks to the |
| | better focused public services of employment of higher quality? |
| | What role did the social partners play and how active were |
| | they? |
| | Did the support of projects focus on the strengthening of |
| | professional and analytical skills? |
| | Did the support of projects focus on the building of |
| | infrastructure and communication platform at both national and |
| | international level in addressing the issues of employment |
| | development and in removing regional disparities? |
| | Which sectors of the national economy have a long-term |
| | potential for the creation of jobs? |
| Proposed methods | statistical analyses (regression analysis), surveys, case studies |
| Timetable | 2020 |
| Form | externally |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | J I I I I I I I I I I |
| 15. | Evaluation of the meeting of objectives/action plans of the |
| | Strategic Document SD 2015+ from the viewpoint of ESIF |
| Subject, objective and | Subject of evaluation are the objectives and action plans of the |
| justification of the need | Strategic document SD 2015+. |
| for evaluation | Objective of evaluation is to assess the implementation of the |
| | Strategic document SD 2015+. |
| | According to PA SR 2014–2020, the Strategic document SD |
| | 2015+ is mandatory for the implementation of the horizontal |
| | principle "Sustainable Development". The coordinator of HP |
| | "Sustainable Development" is to evaluate its implementation |
| | and submit reports to the government. |
| Source of data | |
| Source of uata | ITMS2014+, |



| Γ | |
|-------------------------------|---|
| | Annual reports on the implementation of OPs, |
| | MA for OP, |
| | SO SR |
| | Eurostat, |
| | OECD |
| Basic evaluation | To what extent does the set of applied policies of the Slovak |
| questions | Republic in the economic, social and environmental fields |
| | contribute towards meeting the main priorities which represent |
| | the vision of the Slovak Republic in the area of sustainable |
| | development by the year 2030 pursuant to the Strategic |
| | Document SD 2015? |
| Proposed methods | qualitative analysis of the effects and policies contributing |
| | towards SD 2015+, calculation of indicators and indices |
| Timetable | 2019 and 2022 |
| Form | externally |
| Responsible for the | Evaluation Unit in cooperation with the Horizontal Priorities |
| preparation of the | Department, Analytical Unit of the Government Office, and |
| terms of reference | other central bodies of state administration (CBSA) |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Horizontal Priorities Department |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working group for the implementation of HP SD, |
| | Working Group for Synergies, |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 16. | Interim impact evaluation of the meeting of HP objectives |
| | according to OP priorities at the level of Partnership |
| | Agreement. |
| Subject, objective and | Subject of evaluation are the HP objectives according to OP |
| justification of the need | priorities and at the level of the Partnership Agreement. |
| for evaluation | Objective of evaluation is to assess impacts of achieved HP |
| | objectives according to OP and at the level of the Partnership |
| | Agreement and to formulate recommendations should problems |
| | occur. |
| | Required under EU Regulation. |
| Source of data | ITMS2014+, |
| | Annual reports on the implementation of OPs/HPs, |
| | MA for OP/coordinators of HP |
| | SO SR |
| | Eurostat |
| Basic evaluation | What are the results at the level of OPs and projects in relation |
| questions | to HP objectives? |
| | What is the quality of projects in view of the implementation of |
| | HP within them? |
| | What are the socio-economic trends in the area of HP |
| | the second control works in the work of the |



| | chiestings and what not onticil immed do the ECI Funds have an |
|--|--|
| | objectives and what potential impact do the ESI Funds have on them? |
| | What are the recommendations for changes in the HP |
| | Implementation System? |
| Proposed methods | analysis of administrative data, analysis of context indicators, |
| Proposed methods | survey, interview, case studies |
| Timetable | 2019 |
| | |
| Form | externally |
| Responsible for the | Evaluation Unit in cooperation with representatives of |
| preparation of the | Horizontal Principle "Equality of Men and Women and Non- |
| terms of reference | discrimination" and Horizontal Principle "Sustainable Growth" |
| Responsible for the takeover and | Pursuant to a partial Contract for Works or under the Public |
| | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | Evolution Unit |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation, Coordination Committee for HP EMaWaND |
| | |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 17. | Impact assessment of the ESI Funds on development of |
| Sabiat abiatiza and | regional disparities (NUTS III and LAU I) |
| Subject, objective and justification of the need | Regional disparities represent one of the basic problems of the development of the Slovak Republic. Despite significant |
| justification of the need | development of the Slovak Republic. Despite significant |
| | investments from the EU schedion policy funds there is no |
| for evaluation | investments from the EU cohesion policy funds, there is no decrease in regional disperities at level of regions and counties |
| | decrease in regional disparities at level of regions and counties. |
| | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of |
| | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the |
| | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to |
| | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to |
| for evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. |
| | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, |
| for evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, |
| for evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, |
| for evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, |
| for evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", |
| for evaluation Source of data | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity |
| for evaluation Source of data Basic evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of |
| for evaluation Source of data | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic |
| for evaluation Source of data Basic evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? |
| for evaluation Source of data Basic evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the |
| for evaluation Source of data Basic evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? |
| for evaluation Source of data Basic evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into |
| for evaluation Source of data Basic evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into the least developed regions and what was their thematic |
| for evaluation Source of data Basic evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into the least developed regions and what was their thematic concentration? |
| for evaluation Source of data Basic evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into the least developed regions and what was their thematic concentration? Which factors affect the slower growth of the least developed |
| for evaluation Source of data Basic evaluation | decrease in regional disparities at level of regions and counties. Objective of evaluation is to evaluate the development of regional disparities at level of regions and counties during the last 10 years, specifically of the least developed counties, to identify main factors generating lower economic growth and to assess contribution of the EU support. ITMS, ESI Funds Management System, MA for OP, MI CCA, Risk analysis "Traffic Lights", Independent monitoring entity What is the tendency of regional disparities at the level of NUTS III and LAU I in selected areas of social and economic development during the last 10 years? What position did have the least developed counties in the period under consideration? How important were the EU cohesion policy investments into the least developed regions and what was their thematic concentration? |



| | to applicability) quantitative analysis, qualitative analysis |
|---|--|
| Timetable | to applicability), quantitative analysis, qualitative analysis 2020 |
| Timetable | |
| Form | externally Free basetion Unit |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 18. | Evaluation of the ESIF contribution to increasing the |
| | quality of cooperation between entrepreneurial sector and |
| | research and development institutions |
| Subject, objective and | Increasing intensity and quality of cooperation of research and |
| justification of the need | development institutions and entrepreneurial sector is one of |
| for evaluation | important factors to increase the innovation of the Slovak |
| | companies. In this programming period, objectives in this area |
| | are directly supported by ESIF, mainly within OP Research and |
| | Innovations. |
| | Objective of the evaluation is an independent assessment of |
| | results of cooperation between research institutions and |
| ~ | enterprises. |
| Source of data | ITMS2014+, |
| | primary data from interviews with MA/IB and beneficiaries |
| Basic evaluation | To what extent have the ESI Funds support created conditions/ |
| questions | environment for cooperation between research institutions and |
| | enterprises? |
| | Which groups of research institutions and entrepreneurial sector |
| | did receive support to increase mutual cooperation? |
| | What are the main results of supported interventions in the area |
| | of increasing the quality of cooperation and how did they |
| | become evident in the functioning of enterprises? |
| | Which factors do positively/negatively influence the level of |
| | cooperation between entrepreneurial sector and |
| Dronogod mothoda | research&development institutions? |
| Proposed methods | quantitative analysis, qualitative analysis, cluster analysis, |
| Timotoblo | interviews, mapping of effects 2020/2021 |
| Timetable | |
| Form | externally Evaluation Unit |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | Durguant to a martial Contract for Wester or order (1. D.1) |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |



| 641 | T |
|---|--|
| acceptance of the Works | |
| Evaluation coordinator | Evaluation Unit |
| | |
| Invited to comment | Supervision Working Group, |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 19. | Evaluation of the findings from the ESIF evaluations |
| Sahiat ahiatina and | carried out in the 2014-2020 programming period |
| Subject, objective and | Subject of evaluation are the findings and recommendations |
| justification of the need for evaluation | from the ESIF evaluations performed in the 2014 – 2020 |
| for evaluation | programming period. |
| | Objective of evaluation is to evaluate the findings and recommendations form the ESIF evaluations performed in the |
| | 2014 -2020 programming period. The evaluation outputs will |
| | serve as a basis for the preparation of the Summary Report on |
| | the Evaluation of ESIF Implementation in the 2014–2020 |
| | programming period. |
| Source of data | Summary reports on evaluation activities and on the results of |
| Source of unu | ESIF/OP/HP evaluations. |
| | MA for OP, |
| | HP coordinators |
| Basic evaluation | Is there a relationship between the quality of evaluation reports |
| questions | and the application of the results of evaluation? |
| questions | What are the key lessons learned in relation to the system of |
| | evaluation? |
| Proposed methods | survey, case studies, meta-analysis |
| Timetable | 09/2022 - 12/2022 |
| Form | internally/externally |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group |
| | Working Group for Evaluation |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |
| 20. | Evaluation of the impacts of ESIF implementation |
| Subject, objective and | Subject of evaluation is the ESI Funds implementation in the |
| justification of the need | 2014 – 2020 programming period. |
| for evaluation | Objective of evaluation is to evaluate the synergic effects and |
| | impacts of the ESI Funds on the meeting the goals of the |
| | Europe 2020 Strategy. Horizontal evaluation is based on |
| | evaluation of results of programmes and operations since 2014 |
| | co-financed from the ESI Funds. |

| | Assessment of purpose, efficiency and economy of the 2014 – |
|-------------------------------|---|
| | 2020 programming period and final evaluation of HP |
| | EMaWaND/HP SD objectives in the 2014 – 2020 programming |
| ~ ~ ~ ~ | period will be also part of the evaluation. |
| Source of data | ITMS2014+, |
| | Monitoring reports of OPs, |
| | SO SR, |
| | MA for OP/HP coordinators, |
| | CCA |
| Basic evaluation | What were the synergic effects resulting from the interventions |
| questions | supported from EU funds? |
| | To what extent have the identified synergic effects contributed |
| | towards the meeting of the national objectives of the Europe |
| | 2020 Strategy? |
| Proposed methods | survey, interview, questionnaires, quantitative and qualitative |
| | methods of impact evaluation |
| Timetable | 2023 |
| Form | externally |
| Responsible for the | Evaluation Unit |
| preparation of the | |
| terms of reference | |
| Responsible for the | Pursuant to a partial Contract for Works or under the Public |
| takeover and | Procurement Act or the EU directive on public procurement |
| acceptance of the | |
| Works | |
| Evaluation coordinator | Evaluation Unit |
| Invited to comment | Evaluation Supervision Working Group, |
| | Working Group for Evaluation, |
| | Working Group for Monitoring |
| Financial management | Project Preparation and Implementation Unit, CCA |
| of the project | |

4 List of acronyms used

| CBSA CCA | Central Body of the State Administration Central Coordinating Authority |
|-----------------|--|
| CF | Cohesion Fund |
| COLSAF | Central Office of Labour, Social Affairs and Family |
| DMC | Department of Methodology and Coordination |
| DME | Department of Monitoring and Evaluation |
| EC | European Commission |
| EC | European Communities |
| EMFF | European Maritime and Fisheries Fund |
| ESF | European Social Fund |
| ESIF, ESI Funds | European Structural and Investment Funds |
| EU | European Union |
| EU | Evaluation Unit |
| HP | Horizontal principle |



| HP EO | Horizontal priority 'Equality of Opportunities' |
|------------|--|
| HP EMaWaND | Horizontal principle 'Equality of Men and Women and Non- |
| | discrimination' |
| HP SD | Horizontal principle 'Sustainable Development' |
| HPD | Horizontal Priorities Department |
| IAC | Information-Advisory Centre |
| IB | Intermediate body |
| IN | Integrated Network |
| IPD | Information and Publicity Department |
| ITMS2014+ | Information Technological Monitoring System 2014-2020 |
| LAU1 | Local Administrative Unit (county level) |
| MA | Managing authority |
| MI | Methodological Instruction |
| MC | Monitoring Committee |
| NMC | National Monitoring Committee for the ESIF |
| NUTS III | Nomenclature of Territorial Units for Statistics – |
| | 8 self-governing regions |
| NSRF | National Strategic Reference Framework |
| OECD | Organisation for Economic Co-operation and Development |
| OP | Operational programme |
| OP TA | Operational Programme 'Technical Assistance' |
| PA | Partnership Agreement |
| SD | Sustainable development |
| SF | Structural Funds |
| SO SR | Statistical Office of the Slovak Republic |
| SR | Slovak Republic |